

1 **The welfare of ducks during foie gras production**

2 I Rochlitz and DM Broom

3 Cambridge University Animal Welfare Information Service

4 Department of Veterinary Medicine, University of Cambridge, Madingley Road,

5 Cambridge CB3 0ES, United Kingdom

6
7 **Abstract**

8
9 This review, which focuses on foie gras production from ducks in France, highlights
10 welfare problems that may arise in the final (third) stage of production, when force-
11 feeding occurs and which lasts 12 to 15 days. Welfare problems arising in the first
12 two stages are also considered. The male mulard duck, a non-migratory hybrid
13 between a muscovy drake (*Cairina moschata*) and a female domestic duck (*Anas*
14 *platyrhynchos*), is used most frequently despite being fearful, nervous and maladapted
15 to force-feeding conditions. During the period of force-feeding mortality is 2 to 6%,
16 higher than in fattening units for meat production. Welfare deteriorates markedly as
17 ducks progress through the three production stages. Posture and gait abnormalities
18 and wing lesions develop, and contact dermatitis is widespread and often severe.
19 Oesophagitis and other injuries are documented. Steatosis and other liver changes are
20 pathological and can limit duck survival. Group housing necessitates the use of
21 crowd-gates to facilitate force-feeding of birds, who show aversive behaviour towards
22 the force-feeder. Cages are small, with a mesh floor without litter or a rest area.
23 Access to open water for bathing or full immersion of the head may be insufficient
24 and make thermoregulation difficult. We conclude that force-feeding causes very poor
25 welfare in ducks and should not be practised. Should foie gras production without
26 force-feeding become possible, duck livers should not reach a weight at which there
27 are pathological effects. Inadequate housing and management conditions should be
28 prevented by establishing limits for the prevalences of contact dermatitis (footpad and
29 digits), breast lesions and gait abnormalities, which should not be exceeded prior to
30 slaughter. Limits should also be established for the prevalence of wing and other body
31 lesions after slaughter.

32
33 **Keywords**

34 Animal welfare, control of feeding behaviour, foie gras, force-feeding, liver steatosis,
35 mulard duck

36
37 **Introduction**

38
39 With increasing societal concern about animal welfare, a number of farm animal
40 production practices have come under scrutiny. One such practice is the force-feeding
41 of ducks and geese for the production of foie gras (fatty liver or hepatic steatosis). In
42 1998, the Scientific Committee on Animal Health and Animal Welfare (SCAHAW)
43 reported to the European Commission on the welfare aspects of foie gras production
44 in ducks and geese (SCAHAW 1998). They concluded that “force-feeding is
45 detrimental to the welfare of the birds.” French researchers, who studied several
46 physiological and behavioural measures during force-feeding and did not find
47 supporting scientific evidence, have objected to this conclusion (Guémené & Guy
48 2004).

49 Examination of duck welfare in foie gras production is timely, as there have been
50 recent public calls for the practice to be banned. In January 2016, the individual

51 caging of ducks for foie gras production in France was replaced by group (collective)
52 housing, with at least 3 birds per group (Anon 2015). This review, which focuses on
53 foie gras production in France, highlights the welfare problems that may arise in the
54 final (third) stage of foie gras production, when force-feeding occurs. Where pertinent,
55 welfare problems that may arise in the first two stages are also described.
56 We focus on research in ducks rather than geese because ducks are used in over 97 %
57 of foie gras production in France (18,600 tons in 2013, Litt & Pé 2015). Most of the
58 foie gras literature is in French. Foie gras producing countries in the European Union
59 are France, Belgium, Bulgaria, Hungary and Spain (Litt & Pé 2015), producing
60 approximately 90% of the world's foie gras. Force-feeding of ducks and geese for foie
61 gras is banned in a large number of European and other countries, but many countries
62 where production is banned continue to import it.
63 The terms force-feeding and gavage are used interchangeably here. Other terms, such
64 as assisted feeding, cramming and over-feeding, are sometimes used in the literature.
65 The main food used, maize, is usually called corn in North America. In some
66 instances approximate translations are used, because the equivalent English word does
67 not seem to exist (eg 'nervosisme'). The term 'élevage' means rearing or breeding but
68 is also used to describe stages of production (eg starter, grower).

70 **Background information**

71
72 The male mulard duck, the mulard being a hybrid between a muscovy drake (*Cairina*
73 *moschata*) and a female domestic duck (*Anas platyrhynchos*) which is a mallard, is
74 used most frequently for force-feeding because it has a good potential for production
75 and is relatively easy to manage when housed individually (Guémené & Guy 2004).
76 The breed of domestic duck/mallard most often used is the Pekin, so this name will be
77 used here unless specified otherwise. In France only male mulards are usually reared
78 for foie gras production (Baéza 2006), while females are killed once they have been
79 identified following hatching. This is because their fatty livers are of poor quality and
80 therefore unsuitable as a product with the appellation "100% foie gras" (Marie-
81 Etancelin *et al* 2015).
82 The process of foie gras production in France is described in SCAHAW (1998),
83 Guémené and Guy (2004), Rodenburg *et al* (2005) and Guémené *et al* (2007). Briefly,
84 it can be divided into three stages:
85 1. Starting: Birds are fed *ad libitum* from the time of hatching until 6 to 9 weeks. They
86 are initially kept indoors, usually on straw, and eventually allowed outdoors during
87 the day.
88 2a. Growing: Birds are feed-restricted for a period of 3 to 5 weeks. This restriction
89 may be in time (hourly feed restriction, when birds are fed *ad-libitum* but for only a
90 short period, once daily) or amount (quantitative feed restriction, when birds are fed a
91 reduced amount of food daily). Birds normally have outdoor access during the day.
92 2b. Pre-force-feeding: Birds are fed as much as possible for 3 to 10 days. The aim is
93 to dilate the oesophagus and stimulate the digestive secretions necessary for the
94 assimilation of a large amount of food, and start the process of liver steatosis. The
95 liver can weigh up to 180 g by the end of this stage, compared with 80 g with normal
96 feeding. Ducks usually have outdoor access during the day.
97 3. Force-feeding: From 12 weeks of age and usually for 12 to 15 days, ducks are
98 force-fed increasing amounts of energy-rich food with a high carbohydrate, low
99 protein content and an abnormal amino acid and mineral balance (AVMA 2014).
100 They are force-fed twice daily with a feeding tube powered by a pneumatic or

101 hydraulic pump; at the beginning each receives 180 to 200 g of maize per meal,
102 increasing to 450 g (1000 g after water is added to make mash) per meal towards the
103 end of the force-feeding stage. Up to 400 individually caged ducks per hour can be
104 force-fed by one person using a pneumatic pump (Guémené & Guy 2004), and even
105 more if a hydraulic dispenser is used. They are kept indoors in cages and in a
106 controlled environment.

107

108 **Literature Search**

109

110 In order to find peer-reviewed literature on the force-feeding of ducks, we conducted
111 a search of the following databases: Medline (PubMed, US National Library of
112 Medicine), Google Scholar (Google), Scopus (Elsevier), VetMed Resource (CABI,
113 Centre for Agriculture and Bioscience International) and Web of Science (Thomson
114 Reuters). Each search had the same terms, which were used as subject headings and as
115 keywords. How they were combined varied, depending on the database stipulations.
116 While we focussed on peer-reviewed published research, we also made use of ‘grey’
117 literature such as technical reports, and other material that may not have been
118 subjected to editorial control or peer review. The report by SCAHAW (1998)
119 provided background information and served as a useful guide on potential welfare
120 topics to consider. Only publications in English or French were included.

121 The proceedings from the biennial conferences “Journées de la Recherche sur les
122 Palmipèdes à Foie Gras” were a rich source of information on research covering a
123 wide range of aspects of foie gras production, including welfare. Of the 78 references
124 included in this review, 25 are proceedings from these conferences. This material
125 helped us identify the main researchers in the field and the current research topics.

126 These conferences are supported by a number of organisations, such as the research
127 institutes ITAVI (Institut Technique de l'Aviculture et de l'Élevage des Petits
128 Animaux) and INRA (Institut National de la Recherche Agronomique).

129 The welfare issues we have identified are organised under six main headings:
130 mortality, physical health, general behaviour, force-feeding, housing and other.

131

132 **Mortality**

133

134 Limited mortality figures are available for ducks during the two-week force-feeding
135 period (Servière *et al* 2011) and it is difficult to find a reasonable baseline for
136 comparison, such as the mortality rate of non force-fed mulard ducks. SCAHAW
137 (1998) concluded that mortality during the force-feeding period was typically 2 to 4%.
138 In 2006 the French national average mortality of force-fed birds was 2.4% (Laborde *et*
139 *al* 2010) and in 2013 it was 2.2% (Litt & Pé 2015).

140 In an experimental study exploring the effects of group size and stocking density on a
141 number of production measures during force-feeding, average mortality was 5.6%
142 (range 1.4-13.9) (Mirabito *et al* 2002a). The highest mortality was seen in the largest
143 group (9 birds) with the highest stocking density (1000 cm² per bird). These data
144 compare unfavourably with mortality rates of muscovy ducks in fattening units for
145 meat production, where in the two weeks before slaughter the mortality rate was 0.2%
146 (SCAHAW 1998).

147

148 **Physical health**

149

150 The health of birds can be assessed using a wide range of variables including gross

151 body anatomy, posture, walking ability (gait), face, body and plumage condition,
152 presence of bone fractures, presence and severity of skin lesions as well as mortality
153 (Jones & Dawkins 2010a; Liste *et al* 2012; Makagon *et al* 2015; Saraiva *et al* 2016).
154 There are few such studies in force-fed ducks (but see Litt *et al* 2015 a, c).
155 Gait means walking ability, and is often recorded as an on-farm measure of welfare in
156 poultry raised for meat (Bradshaw *et al* 2002, Makagon *et al* 2015). Impaired gait can
157 cause poor welfare because of its association with pain (Saraiva *et al* 2016), and is
158 economically important as ducks with moderate to severe walking problems are often
159 culled from the flock (Makagon *et al* 2015). A number of gait score systems have
160 been developed for use in ducks (Jones and Dawkins 2010a; O’Driscoll & Broom
161 2011; Liste *et al* 2012; Makagon *et al* 2015; Saraiva *et al* 2016). They need to be
162 standardised so that meaningful comparisons between studies can be made.
163 When birds are kept in restrictive environments where they cannot move freely,
164 recognising mobility problems becomes difficult. Anecdotal observations by
165 SCAHAW (1998) suggest that abnormalities in posture and gait in fattened ducks
166 occur to the extent that some die from becoming immobile and unable to access water.
167 The legs of force-fed birds are pushed outwards, so that they cannot be held vertically
168 when the bird is standing or walking. SCAHAW concluded that this is caused by the
169 hypertrophy of the liver, which pushes the legs laterally and causes difficulty in
170 standing and impairment of their natural gait.
171 Recently Litt *et al* described the development (2015a) and application (2015c) of an
172 evaluation grid (‘grille d’évaluation’) to assess the physical condition of mulard ducks.
173 A subjective scoring system with three or four degrees of severity for each measure
174 was used. The grid was applied to 63 groups of ducks on 44 different commercial
175 farms at the end of each of the three main stages of production. Birds in the force-fed
176 group were evaluated after slaughter in an abattoir. Four main physical abnormalities
177 were noted at all stages: dermatitis of the footpad, toe (digit) and hock (hock burn),
178 and damage to the breast area. Breast abnormalities included loss of feathering and
179 lesions (blisters, ulceration and the formation of crusts). Ventral feathering loss was
180 more commonly noted during the growth stage while breast lesions were noted after
181 slaughter. Footpad and toe dermatitis lesions appeared very early and very frequently
182 in the production process. Wing lesions were noted at the end of force-feeding; 88%
183 of lesions probably occurred at the stages of collection, transport to the abattoir and
184 shackling. Other body injuries, such as scratches to the dorsal part of the body,
185 pseudo-crop injury (lacking a defined crop, the mulard has an oesophageal out-
186 pouching called the pseudo-crop) and joint abnormalities, were also noted after
187 slaughter. Litt *et al* (2015c) concluded that the most useful measures were the
188 presence and severity of dermatitis of the footpad and digits, the condition of the
189 breast, back injuries (eg scratches or haematomas) and injuries to the pseudo-crop.
190 Overall, the prevalence of lesions varied greatly between farms and groups of birds,
191 and associations with fixed factors such as starter density and season were not
192 sufficient to explain this variability.
193 Comparisons between Litt *et al*’s (2015c) evaluation grid and other studies in ducks
194 reared for meat should be made with caution. Force-fed ducks are housed and
195 managed very differently, and are fattened for much longer. What is clear is that the
196 welfare of force-fed ducks, as assessed by general physical condition, deteriorated
197 significantly as they progressed through the three production stages.
198 In a survey of Pekin ducks commercially reared for meat in the UK, the physical and
199 plumage condition of the ducks was recorded at two ages, 23 and 41 days (Jones &
200 Dawkins 2010a). The birds’ condition deteriorated between 23 and 41 days, but this

201 was not marked. At slaughter, the incidence of moderate and severe footpad
202 dermatitis lesions was 10% and 3%, 32% of ducks had calloused toes and 11% had
203 pink hocks. In other commercial trials evaluating open water sources for farmed
204 ducks over 43 days, contact dermatitis lesions were mild and general condition good
205 (O’Driscoll & Broom 2011; Liste *et al* 2012). In contrast, Litt *et al* (2015b) found that
206 by 14 weeks of age, the end of force-feeding, all the duck foot samples had moderate
207 to severe macroscopic signs of epidermal ulceration. Pododermatitis was common,
208 and developed early in the birds’ lifetime. Biija *et al* (2013) studied ducks during the
209 period prior to force-feeding, when they were allowed outdoor access either onto a
210 meadow with scattered trees or onto woodland. At 9 and 11 weeks of age both groups
211 (especially the one with woodland access) had developed moderate to severe
212 pododermatitis.

213 An increase in enteric flora load and in faecal streptococci, causing gastro-intestinal
214 upset and diarrhoea, has been noted at the beginning of force-feeding. Enteric flora
215 overgrowth and infections can exacerbate any existing contact dermatitis and cause
216 death in force-fed birds (Laborde *et al* 2010).

217 Contact dermatitis is an umbrella term that includes footpad and toe dermatitis (also
218 known as pododermatitis or foot burn), hock burns and breast blisters and burns in
219 poultry (Shepherd & Fairchild 2010; Hepworth *et al* 2011). It is a condition which
220 causes pain and disability (Haslam *et al* 2007; Saraiva *et al* 2016), leading to poor
221 welfare and significant economic loss. Animal welfare audits often include contact
222 dermatitis as an indicator of housing conditions and bird welfare (Haslam *et al* 2007;
223 Hepworth *et al* 2011; Saraiva *et al* 2016); this may be useful for foie gras ducks too.
224 Reports of post-mortem examinations of ducks that die during or at the end of force-
225 feeding are sparse in the published scientific literature. There is little information on
226 injuries, disease incidence and nature, causes of death, the incidence of secondary
227 oesophageal infections (such as Candidiasis, a yeast infection caused by *Candida*
228 *albicans*) or on other complications that may arise. SCAHAW (1998) reported that
229 secondary infections with *C.albicans* was present in up to 6% of birds.

230

231 **General Behaviour**

232

233 Mulard ducks are most often used for foie gras production, despite being recognised
234 as particularly fearful, nervous and hyper-reactive – the term ‘nervosisme’ is used in
235 French. These behaviours become evident at 5 to 7 weeks of age (Guémené *et al*
236 2002). Birds show panic and flight responses to the approach of humans and are
237 generally described as being ‘sensitive to the environment’ (Guémené *et al* 2002;
238 Guémené *et al* 2006b; Laborde & Voisin 2013). It seems that the move from
239 individual to group housing has brought the problem of ‘nervosisme’ in ducks to the
240 fore. Certain behavioural characteristics of mulards are recognised: while ducks are
241 gregarious and sociable towards conspecifics (Guémené *et al* 2006b), making group
242 housing enriching, they are fearful of humans, nervous, and highly reactive to their
243 environment (Laborde & Voisin 2013). Therefore, they are less well able to cope with
244 environmental changes and with the presence of humans. They struggle and try to
245 escape when approached for force-feeding thereby necessitating the use of crowd-
246 gates.

247 French scientists have established a research project called “CaNervosisme” to
248 address these undesirable characteristics. The project includes a large number of
249 different experiments looking at factors such as the birds’ phenotype, genotype,
250 genetic manipulations, provenance, rearing conditions, group size, behavioural and

251 physiological responses and exposure to humans (Guémené *et al* 2002; Faure *et al*
252 2003; Guémené *et al* 2004; Guémené *et al* 2006b; Arnaud *et al* 2008; Laborde &
253 Voisin 2013). For example, Arnaud *et al* (2008) found that mulards showed greater
254 panic responses and fear of humans, and appeared to be more sensitive to social stress
255 (isolation from other ducks) than the two parent types, evidence of heterosis. A
256 heterosis effect was also found for basal adrenal activity, with mulards having higher
257 basal levels of corticosterone than parental lines.
258 There are many aspects of husbandry and practice prior to force-feeding that may
259 affect the birds' behaviours during force-feeding, but effects are not clear-cut.
260 Nevertheless, it seems that 'nervosisme' has two main components: fear of humans
261 and fear of the environment. Because foie gras production involves close human
262 contact and sudden environmental changes, it has severe negative effects on the birds'
263 welfare.

264

265 **Force-feeding**

266

267 A major objection to the practice of foie gras production is that, unlike other farmed
268 animals, the birds cannot choose what, when and how much they will eat. They
269 cannot show a food preference or feed spontaneously, and are fed considerably more
270 than they would eat voluntarily. They receive this food without having the
271 opportunity to forage in a species-specific manner.

272 Force-feeding, where the duck is restrained and a rigid tube is inserted into the
273 oesophagus, has the potential to cause injury and pain so the condition of the upper
274 digestive tract is of particular interest. A number of studies have looked for
275 histological evidence of pain at different stages of force-feeding. Servière *et al* (2002)
276 described signs of sub-acute moderate and multifocal oesophagitis, which may be a
277 result of effects of abrasion and distension of the upper digestive tract caused by food
278 boluses. In other experiments, force-fed ducks were compared with
279 pharmacologically-treated control ducks, in which neurogenic inflammation of the
280 upper digestive tract was provoked under anaesthesia by an irritating substance
281 containing mustard oil (Servière *et al* 2002) or hydrochloric acid (HCl) (Servière *et al*
282 2011). For example, in Servière *et al* (2011) varying concentrations of HCl were
283 applied to different parts of the upper digestive tract and the resulting neurogenic
284 inflammatory response compared with that due to the force-feeding regime.

285 Neurogenic inflammation describes the local release of inflammatory mediators from
286 afferent neurons upon activation of sensory nerve fibres (Rosa & Fantozzi 2013).
287 These neuropeptides cause an inflammatory response characterized by plasma
288 extravasation, local vasodilatation, leukocyte and platelet adhesion, and mast cell
289 degranulation. By measuring degrees of the extravasation response in both groups, the
290 authors concluded that the mechanical insult to upper digestive tract walls due to the
291 force-feeding regime is moderate compared with chemical nociceptive stimulation
292 with HCl.

293 One may question whether the above experiments are a good way of evaluating pain
294 caused by force-feeding. The irritating substances may not produce standardized
295 inflammatory responses (and consequent pain) with which force-feeding effects can
296 be compared. Mechanical stimulation, such as excessive distension, may also induce
297 visceral nociception. Detailed post-mortem examination of the upper digestive tract
298 and other body areas may be more informative, as well as behavioural observations.
299 Recording facial and body lesions is particularly relevant, as it seems that the
300 likelihood of injury may increase in group-housed birds because of the need to catch,

301 position and restrain them (Guémené *et al* 2002; Guémené *et al* 2006b).

302

303 *Effects on the liver*

304

305 The potential to develop hepatic steatosis depends on the species of waterfowl and
306 also varies with the genotype (Baéza *et al* 2013). Some migratory waterfowl, such as
307 greylag geese *Anser anser*, eat more than their normal amount of food in the days
308 before migration. The muscovy and the mulard duck, however, are non-migratory and
309 do not develop a hypertrophied liver when reared normally. Force-feeding results in
310 an increase in liver size and fat content. By the end of force-feeding, the duck's liver
311 is 7 to 10 times the size of a normal one with an average weight of 550 to 700 g and a
312 fat content of 55.8% (Babilé *et al* 1996; Gabarrou *et al* 1996). This increase in liver
313 weight is accompanied by a substantial overall live-weight gain in the range of 50 to
314 85%. In comparison, the average weight of a non force-fed drake's liver is 76 g with a
315 fat content of 6.6% (Babilé *et al* 1996).

316 Steatosis and other changes that occur as a result of general management for foie gras
317 production, in particular force-feeding, are pathological and can limit the ducks'
318 survival potential. The enlarged liver may cause discomfort, compress airsacs
319 (reducing respiratory capacity) and abdominal organs. When liver function is severely
320 compromised, hepatic encephalopathy (central nervous dysfunction due to effects of
321 toxins such as ammonia on the brain) may develop (SCAHAW 1998).

322 A detailed illustration of the steatosis process is presented in Baéza *et al* (2013).

323 Steatosis results from an increased capacity of hepatic lipogenesis and insufficient
324 capacity to export newly synthesised triglycerides, resulting in their accumulation in
325 hepatocytes. Peripheral tissues cannot take up sufficient circulating lipids, thus
326 favouring their return towards the liver. Hepatocytes hypertrophy due to accumulation
327 of fat and other components (water, minerals, proteins, phospholipids). Lipid
328 synthesis in the liver is maximised when the food is high in starch and low in protein,
329 such as maize. Maize also has high levels of thiamine and biotin, which are necessary
330 for the conversion of sugars to lipids. To reduce the ducks' capacity to make Very
331 Low Density Lipoprotein, which carries lipids away from the hepatocytes to
332 peripheral tissue, the diet is restricted in levels of certain nutrients necessary for their
333 synthesis such as amino acids methionine and choline (Gabarrou *et al* 1996). Force-
334 feeding a high-energy, high carbohydrate diet turns a normal liver into a steatotic one
335 in under two weeks (Gabarrou *et al* 1996).

336 In an experiment by Babilé *et al* (1996), mulard ducks were force-fed for 10, 13 and
337 16 days, and at the end of each period were released back into the group. For the first
338 few days they did not eat but drank copiously, and lost a lot of weight in the first
339 week. The longer the force-feeding period, the longer it took for ducks to start eating
340 spontaneously again (8 to 15 days). The liver returned to its initial weight after 15
341 days following the end of force-feeding for groups force-fed for 10 and 13 days, and
342 took 30 days for those force-fed for 16 days. These results give an insight into the
343 degree of insult from which the liver had to recover. Prolonging the force-feeding
344 from 13 to 16 days has a disproportional effect on time to liver weight recovery (an
345 increase from 15 to 30 days), suggesting that 16 days of force-feeding brings the duck
346 close to severe liver dysfunction and failure.

347 Bénard *et al* (1998, 2006) examined the effects of force-feeding on liver function,
348 morphology and pathology. Group-housed ducks were force-fed for 2 weeks and then
349 received normal *ad-libitum* feeding for 4 weeks. This cycle was performed three times,
350 with force-fed birds compared with a control group fed *ad-libitum* throughout. Blood

351 samples were taken at the end of every force-feeding or free-feeding cycle from the
352 test birds and at the same time from controls. A bromosulphophthalein (BSP)
353 clearance test, a measure of the liver's ability to detoxify, was also performed. Birds
354 were killed after 2, 6, 8, 12, 14 and 18 weeks and their livers examined.
355 While the weight of the non force-fed birds did not change significantly, the test
356 ducks put on weight (1.5 to 2 kg), but lost it during the 4-week non force-feeding
357 period (1.4 to 2.3 kg). Gross hepatomegaly was noted in force-fed birds and
358 concentrations of liver enzymes lipase, alanine aminotransferase and aspartate
359 aminotransferase rose significantly at the end of each force-feeding period. After 4
360 weeks of normal feeding they returned to levels similar to those of the control group.
361 After 2 weeks of force-feeding, hepatocytes in control birds had an average diameter
362 of 7-10 μm whereas signs of steatosis were obvious in force-fed birds: hepatocyte
363 diameter was 35-40 μm and the cell was full of fat vacuoles. After 3 cycles of force-
364 feeding the liver structure was similar, but 4 weeks later most of the liver cells had an
365 average diameter similar to that of controls, and were no longer full of fat. BSP
366 clearance, as measured graphically by the area under the curve, was reduced in force-
367 fed birds at 2 and 8 weeks compared with controls, while it returned to normal after
368 periods of free-feeding as well as after the third force-feeding cycle. The elimination
369 half-life ($T_{1/2}$) of BSP was greatly prolonged at the end of each force-feeding period
370 but returned to normal (values same as controls) after 4 weeks of free-feeding.
371 The authors concluded that since animals were able to withstand three consecutive
372 cycles of force-feeding with four-week intervals of normal feeding, and that no
373 pathology was found after these rest periods, force-feeding does not induce diet-
374 related pathological changes since the steatosis was reversible. Consequently, animal
375 welfare is not adversely affected. However, we argue that survival after a problem
376 does not mean that the problem was of no significance. While steatosis was reversible
377 in the studies described above, its reversibility does not mean that the liver changes
378 were not pathological. The reduction in the liver's ability to detoxify at the end of the
379 force-feeding period, as indicated by a slower BSP clearance, longer BSP half-life and
380 raised liver enzymes, is clear evidence of clinical pathology. These and various other
381 data show that the steatosis obtained by force-feeding induces an impairment of
382 hepatic function (SCAHAW 1998). In Babilé *et al* (1996), liver weight after 16 days
383 of force-feeding took 30 days to reduce to normal, and in other studies the mortality
384 of ducks increased when the force-feeding period was prolonged beyond 15 days
385 (SCAHAW 1998).
386 There are other points in the articles by Bénard *et al* (1998, 2006) that deserve
387 attention. Force-feeding was performed on ducks housed in groups on the floor, by
388 one person seated on a stool within their pen. This force-feeding is not typical of
389 current practice (Litt 2010), taking much longer, about 30 seconds. The birds were
390 closely examined twice daily throughout the study; force-fed birds were kept on wire
391 mesh floors and developed signs of tibio-tarsal arthritis as well as skin calluses on
392 their feet. These lesions disappeared when they were returned to straw litter for free-
393 feeding. After an initial 3-day period of agitation they showed increasingly longer
394 periods of rest between each force-feeding, as well as an increase in wing flapping;
395 the authors do not explain these behavioural changes. Agitation and wing flapping
396 may be due to pain or fear, increasingly longer periods of rest due to pain, lethargy or
397 abdominal discomfort. Hypertrophied livers can cause discomfort in a number of
398 other species and this may also occur in ducks (SCAHAW 1998). There is no mention
399 of access to water troughs for head immersion and wet preening, and despite close
400 examination twice daily, the state of the ducks' face, eyes and nostrils are not

401 described. The results of this study do not support the authors' conclusion that force-
402 feeding did not cause suffering.
403 We suggest that additional physiological measures could be used in the assessment of
404 liver function in force-fed ducks such as bile acids, ammonia, urea nitrogen, gamma
405 glutamyltransferase, uric acid and coagulation factors in the blood and ketones in the
406 blood or urine (Harr 2005). These measures are commonly used in other species. In
407 addition, because maize is not a balanced diet for ducks other abnormalities may be
408 present, such as hormone imbalances or altered calcium to phosphate ratios leading to
409 bone pathology (SCAHAW 1998), so these should be measured too.

410

411 *Effects on behaviour*

412

413 Compared with physical and physiological effects, there is an even greater lack of
414 published data on the behavioural responses to force-feeding both during the
415 procedure itself and at other times, eg immediately beforehand when the ducks
416 anticipate a potentially unpleasant experience, and afterwards when they have to
417 digest a large amount of food. When behavioural responses are described, their
418 interpretation and significance from a welfare perspective is often lacking or
419 incomplete (Bénard *et al* 1998, 2006).

420 The gag or pharyngeal reflex is a reflex contraction of the back of the throat, evoked
421 by touching the roof of the mouth, the back of the tongue or the back of the throat.
422 There is a contraction of both sides of the posterior oral and pharyngeal musculature,
423 and humans report that this is an unpleasant experience (Shriprasad & Shilpashree
424 2012). The reflex helps to prevent material from entering the throat, except as part of
425 normal swallowing, and protects against choking and aspiration. There is controversy
426 as to whether the reflex is present in ducks; we agree with SCAHAW (1998) that it
427 probably is. Unlike some birds such as pelicans and storks, mulard ducks consume
428 food by dabbling and sieving and do not swallow large food items. There is no reason
429 why the pharyngeal reflex would be absent in these ducks. Initially, force-feeding
430 stimulates this reflex but after a certain time it stops. The adaptation time required for
431 the gag reflex to be extinguished, and how this affects the duck, are not known.
432 Carrière *et al* (2006) compared the behaviour of force-fed mulards (during the hour
433 after the second, twelfth and twenty-fourth meal) with controls that were kept in the
434 same conditions but not handled or force-fed. Test birds were force-fed twice daily for
435 13 days (the amount fed and whether it increased day by day are not specified) while
436 control ducks had *ad-libitum* access to food, which was provided every morning at the
437 same time as the test ducks were force-fed. The behaviour of the control ducks was
438 video-recorded the day after the recording of the test ducks.

439 Force-fed ducks spent more time lying down, and walked less frequently and for a
440 shorter time than control ducks. The authors explain these results by the negative
441 effects of the duck's weight gain on posture and movement. We argue that this has
442 consequences for the duck's welfare. Excess weight can reduce the animal's mobility
443 in a number of ways including pressure from an enlarged abdomen, reduced
444 respiratory capability and joint pain. As with broilers (Bradshaw *et al* 2002; Weeks
445 2014), lack of mobility is likely to lead to further consequences that reduce welfare
446 such as poor muscle strength, skeletal defects, skin lesions and altered social
447 interactions with conspecifics. Other changes in behaviour in test birds included
448 spending less time with their head at rest, reduced grooming and preening, and
449 spreading their wings and shaking their tail less often. Self-grooming, preening and
450 wing-stretching are all behaviours generally associated with good welfare in birds

451 (Rodenburg *et al* 2005). The time spent performing these behaviours was reduced in
452 force-fed compared with control birds and decreased over time. Force-fed birds shook
453 their heads more than controls, especially after the first force-fed meal but also after
454 subsequent meals. The authors suggest that this may be a reaction to handling by the
455 force-feeder, or to the introduction of a large amount of food into the oesophagus.
456 Head-shaking normally indicates an aversive event and also occurs when birds are
457 deprived of access to open water (Rodenburg *et al* 2005). It may also be evidence of
458 stimulation of the gag reflex.

459 Most intensive farms for foie gras production have air ventilation systems to keep
460 ambient temperatures relatively low, in an attempt to reduce thermal stress in the birds.
461 Nevertheless, the force-fed ducks spent a lot of time panting and this increased with
462 time. After the twelfth meal 5 out of 9 ducks panted, and after the last all panted in the
463 hour after force-feeding. This behaviour was not evident in the control ducks at any
464 time. Force-feeding disrupted the test birds' thermal homeostasis, causing them to
465 spend a proportion of their time budget panting, while control birds fed *ad-libitum*
466 remained in thermal homeostasis and did not pant. These behavioural changes
467 indicate poorer welfare in the test birds, which worsened over time. Panting to aid
468 evaporative cooling is part of the thermoregulatory response to the ingestion of large
469 amounts of high-energy food, as is immersion of the face and, by wet preening, the
470 body in water (Rodenburg *et al* 2005). The birds had access to water but it is not clear
471 whether it was to water troughs, showers, baths or nipple drinkers; it seems that water
472 was only available for drinking. This study was limited to studying birds for one hour
473 after each force-feeding and did not consider the effect of handling of test birds,
474 separate from the effect of force-feeding, as controls were not handled prior to feeding.
475 Ducks' behavioural responses to force-feeding were also examined by Faure *et al*
476 (1998, 2001). In the first experiment (Faure *et al* 1998), the hypothesis was that if
477 force-feeding caused aversion, the ducks would not spontaneously leave their rearing
478 pen or go into the test pen where they were force-fed. Force-fed birds showed
479 aversion to entering the test pen, compared with controls (not force-fed). However,
480 there were some methodological issues with this experiment (eg birds were fed just
481 once daily).

482 In the second experiment (Faure *et al* 2001), the flight distances of ducks from the
483 force-feeder and from an unknown observer were measured in ducks housed in
484 individual cages. Flight distance was the distance between the person and the duck's
485 cage, at the time when the duck withdrew its head as the person approached it. Tests
486 were performed several hours after the force-fed meal on days 3, 7, 9 and 11. Initially
487 the flight distances were similar, but on days 7 and 9 ducks avoided the unknown
488 person more than the force-feeder and their avoidance of the force-feeder decreased
489 during the force-feeding period. The authors concluded that there was no evidence of
490 an aversion to the force-feeder. This is a poorly controlled experiment with alternative
491 explanations for the results and it does not demonstrate that force-feeding is not
492 aversive to ducks. It is well known to those who force-feed ducks that the birds show
493 initial avoidance and struggling but reduce this over time, presumably because they
494 learn that they are less likely to be caused pain if they do. There is the confounding
495 effect of greater familiarity of the force-feeder compared with the unknown observer,
496 and the choice of flight distance as a measure of aversion is problematic (eg duck
497 movements in an individual cage are limited). Repeating this experiment using two
498 persons of equal familiarity, with one doing the force-feeding and the other not, as
499 well as using measures other than flight distance, is indicated.

500

501 ***Effects on physiology***

502

503 A number of studies have examined the effects of force-feeding and its different
504 components (handling, intubation) on various physiological indicators of acute and
505 chronic stress in mulard ducks (Guémené *et al* 2001; Mirabito *et al* 2002c; Guémené
506 *et al* 2006a; Flament *et al* 2012; Mohammed *et al* 2014). Some have shown no effects
507 of force-feeding on blood corticosterone levels or ACTH sensitivity (eg Guémené *et*
508 *al* 2001; Flament *et al* 2012), while others have had different results. For example,
509 Mirabito *et al* (2002c) found that force-feeding caused significant increases in blood
510 corticosterone in some ducks on some days and Mohammed *et al* (2014) noted that
511 blood corticosterone levels of force-fed ducks rose while those of controls did not. In
512 humans (Legler *et al* 1982) and animals (Broom & Johnson 2000) plasma
513 glucocorticoid concentrations are not consistently related to eating.

514 The experimental design of studies needs to be improved, and the methodology
515 clearly established, before the usefulness of corticosterone as a measure of acute or
516 chronic stress in force-fed ducks can be determined.

517

518 ***Effects on thermoregulation***

519

520 Force-fed ducks are susceptible to thermal stress, which causes panting in order to
521 disperse the extra heat generated from digestion. They may spend large amounts of
522 time, standing or lying down, performing this behaviour (Carrière *et al* 2006).

523 Thermal stress makes the duck prone to discomfort, reduces food digestibility and
524 increases mortality. Nutritional supplements containing electrolytes and anti-oxidants
525 have been developed to mitigate these effects (Mathiaud *et al* 2013). Immersion in
526 water is another homeostatic mechanism for thermoregulation in birds, but if
527 sufficient water for immersion is not available, heat stress becomes a greater risk
528 (Rodenburg *et al* 2005).

529

530 ***Alternatives to force-feeding***

531

532 Researchers and farmers are keen to find a way of producing foie gras without the
533 need to force-feed. The main methods are summarised in Guy *et al* (2007). One
534 approach is to stimulate the birds to over-eat voluntarily to a degree that is sufficient
535 to cause hepatic steatosis. Spontaneous over-eating leading to liver steatosis can be
536 stimulated in geese by manipulating day length (because photoperiod is a major
537 environmental factor controlling migration and the pre-migratory fattening process)
538 and feeding regimes (Fernandez *et al* 2013; Guy *et al* 2013; Bonnefont *et al* 2015;
539 Fernandez *et al* 2015). However this response is not seen in ducks, the variability in
540 the response is high, the production cycle is long (up to 31 weeks), the liver produced
541 is less liked by some consumers (Fernandez *et al* 2015) and there are negative effects
542 on the environment (Brachet *et al* 2015). Life Cycle Analysis (LCA) examines a
543 product's complete life cycle from raw materials to final disposal of the product
544 (Williams 2009). Brachet *et al* (2015) used LCA to estimate potential impacts on the
545 environment, and found that non force-fed geese had a greater impact due to a longer
546 production time and higher food consumption while achieving lower liver weights.
547 EU Regulations 1538/91 and 543/2008 state that in order to be called foie gras, the
548 minimum liver weight must be 300 grams net in ducks and 400 grams net in geese.
549 These weights cannot be achieved without force-feeding but if they were reduced, it

550 may be possible to produce a fatty liver that is still acceptable to consumers without
551 force-feeding. A maximum liver weight should be specified, in order to prevent the
552 accumulation of toxic substances and other adverse effects on welfare due to liver
553 malfunction.

554

555 **Housing**

556

557 ***Individual and group housing***

558

559 Until recently, most production systems placed ducks in individual cages during the
560 force-feeding period. The cages prevent the ducks from avoiding the force-feeding.
561 The main advantages to the producer are that the ducks can be force-fed rapidly one
562 after the other, without the feeder having to catch them, and that “they always remain
563 in the right position” (Guémené & Guy 2004). Individual cages are small and greatly
564 restrict the bird’s movements; they do not allow the bird to turn around, stretch and
565 flap its wings, stretch to its full height or length or show more than a minimal
566 behavioural repertoire. The degree of restriction increases as the bird grows rapidly
567 and fattens.

568 As of January 2016, the individual caging of ducks for foie gras production is illegal
569 in France (Anon 2015). Ducks have to be housed in groups of at least 3 birds although
570 cage dimensions and bird density are not specified. This bylaw refers to the Council
571 of Europe (1999) recommendations for muscovy ducks (*Cairina moschata*) and
572 hybrids of muscovy and domestic ducks (*Anas platyrhynchos*), which state in more
573 detail what the birds should be able to do when housed together.

574 Factors that affect welfare in group housing include group size, stocking density, type
575 of flooring, provision of litter or bedding material, access to water for drinking, and
576 the provision of water for bathing or at least full immersion of the head (Mirabito *et al*
577 2002a, b, c; Mirabito 2006). Management of the air space and ventilation, maintaining
578 cleanliness and controlling disease, and ensuring homogeneity of groups are also
579 important. Potential undesirable effects of group housing include increased aggression
580 between birds, difficulty in maintaining cleanliness (especially in larger groups),
581 competition at water sources, and difficulties in catching birds causing repeated stress
582 (Guémené *et al* 2002; 2006a).

583 Previous work on group housing has examined the effects of floor space and group
584 size on production, behaviour and blood corticosterone (Mirabito *et al* 2002a, b, c). In
585 general, the best production results were obtained when ducks had 2000 cm² of floor
586 area each, and larger groups (9 ducks) had higher mortality and poorer cleanliness
587 (Mirabito *et al* 2002a). However, birds kept at the highest stocking density in the
588 smallest group had more humeral lesions at slaughter, perhaps a reflection of reduced
589 activity and subsequent bone weakness. Surface area per bird was the main factor that
590 influenced behaviour, with birds kept at 1000 cm² each moving less and stretching
591 their wings less frequently than birds kept at a density of 1500 or 2000 cm² (Mirabito
592 *et al* 2002b).

593 The effects of group size (3, 6 or 9 ducks) and surface area per bird (1000, 1500 and
594 2000 cm²) on blood corticosterone before and after force-feeding and on the HPA axis
595 were explored, and compared with birds housed individually (Mirabito *et al* 2002c).

596 Effects of different housing conditions on blood levels of corticosterone were not
597 clear-cut, and were difficult to interpret. Increases were noted for ducks housed
598 individually after the 1st and 11th meal, findings which are not in agreement with those
599 of Guémené *et al* (2001). There was no evidence of abnormalities in sensitivity or

600 reactivity of the HPA axis, except for some unusual results obtained for the group of 6
601 ducks kept at 1500 cm² stocking density.

602 Between 2007 and 2009, trials of group versus individual housing of ducks were
603 performed by Litt (2010). The focus was largely on production outcomes rather than
604 on welfare. While birds were fed the same amount, group-housed birds had smaller
605 livers, force-feeding took longer and more water was required for cleaning. There was
606 a small increase in breast tissue ('magret'), also noted by Mirabito *et al* (2002a).

607

608 ***Cage design in group housing***

609

610 More recent models of group cages have been modified, particularly with regard to
611 containment (restraint using one or more crowd-gates, 'peigne de contention') of birds
612 when force-fed and the work conditions of force-feeders. The restraining containment
613 method aims to make force-feeding easier by bringing birds to the front of the cage
614 and immobilising them. A back wall pushes the birds forwards. As they collect at the
615 front, the front vertical grid wall descends backwards over them and prevents them
616 from escaping or moving the body. Group-housed birds may be susceptible to injury
617 resulting from getting caught in the cage's containment mechanism, or from being
618 restrained for a long time as the force-feeder works up one row of cages and back
619 down the other before releasing the mechanism. Because birds immobilised by the
620 crowd-gates may be facing any direction, the force-feeder must be able to insert the
621 feeding tube from any angle (Cepso 2013). This can increase the risk of injury,
622 especially if the bird struggles and resists or if others get in the way. It is more
623 difficult and takes longer for the force-feeder to carry out their task, especially with
624 larger groups (Mirabito *et al* 2002a; Litt 2010). The force-feeder is unable to develop
625 a steady rhythm, working their way uninterrupted along a row of cages as is possible
626 with individual caging.

627 A brochure by the agricultural group Centre d'Etudes des Palmipèdes du Sud Ouest
628 Cepso Chambagri (Cepso 2013) illustrates 12 different types of cages available, and
629 provides a summary table which compares the cage systems with regard to density,
630 minimum floor space per bird and other parameters. Recommended cage floor surface
631 area is 4000 cm² for 3 ducks, 5000 cm² for 4 and at least 1200 cm² surface area per
632 bird (the equivalent of 2 size A4 sheets of paper) for 5 ducks or more. The cage
633 should be tall enough for the bird to stretch fully to its vertical height and there is
634 usually no roof. Ten of the systems have a movable back wall, and all but one have a
635 front vertical grid wall that can move back and down to immobilise the birds. Based
636 on available published studies, the choice of cage floor surface area per bird seems to
637 be a compromise between economics and duck comfort (1000-1200 cm² or 1500-
638 2000 cm²). Most cages are small, with a surface area of 1200 cm² to 1300 cm² per bird.

639

640 ***Flooring and provision of litter or bedding***

641

642 Force-fed ducks are usually kept on a mesh floor ('caillebotis') made of some type of
643 steel (galvanised or stainless) and less commonly of plastic. As force-feeding
644 progresses, they become more inactive and rest on this firm bare surface as litter or
645 bedding is not provided. Contact dermatitis is common and develops early during the
646 production process (Litt *et al* 2015c). It is already of moderate to marked severity
647 when birds are ready for force-feeding (end of stage 2b). Lesions may improve,
648 worsen (Litt *et al* 2015b) or stay the same (Litt *et al* 2015a, c) during force-feeding.
649 Bénard *et al* (2006) noted that force-fed birds kept on wire mesh floors developed

650 signs of tibio-tarsal arthritis as well as skin calluses on their feet. These lesions
651 disappeared when birds were returned to straw litter for free-feeding.
652 Many environmental factors have been associated with the development of contact
653 dermatitis in chickens kept for meat production. Why it occurs in some flocks and not
654 in others is not fully understood. A major contributing factor, particularly at the onset,
655 is the type of litter, or ground quality if litter is not provided. Damage occurs to the
656 skin surfaces that have prolonged contact with litter, usually starting with the footpad
657 and toes, then the rear surface of the hock and, when severe, the breast area. While
658 high moisture litter is sufficient to cause the condition, litter depth, ammonia levels,
659 climatic conditions, condensation, ventilation, stocking density, rearing system, leg
660 weakness, overweight and inactivity, ground quality and diet (such as levels of
661 methionine, choline and certain vitamins) are also recognised as causative factors
662 (Haslam *et al* 2007; Bassett 2009; Shepherd & Fairchild 2010; Hepworth *et al* 2011;
663 Saraiva *et al* 2016).
664 Council of Europe recommendations (Council of Europe 1999) state that “Where
665 ducks are housed, floors shall be of a suitable design and material and not cause
666 discomfort, distress or injury to the birds. The floor shall include an area sufficient to
667 enable all birds to rest simultaneously and covered with an appropriate bedding
668 material” (article 10, point 6) and “Adequate litter shall be provided and maintained,
669 as far as possible, in a dry, friable state in order to help the birds to keep themselves
670 clean and to enrich the environment” (article 11, point 4). Despite these
671 recommendations, currently the standard group cage lacks an area where ducks can
672 rest together, and there is no bedding material or litter to ensure their comfort and
673 cleanliness or to provide substratum for foraging and exploratory behaviours. The
674 cage is barren and not enriched beyond the provision of water troughs and
675 conspecifics.

676

677 *Access to water*

678

679 Ducks spend considerable time performing complex preening behaviours (Rodenburg
680 *et al* 2005). After feeding followed by bathing (an important element being immersion
681 of the head and wings), they carry out a variety of shaking movements to remove
682 water and cleaning movements to remove foreign bodies. An elaborate sequence is
683 then carried out to distribute oil on the feathers from the uropygial gland above the
684 tail. This is necessary for waterproofing and heat regulation. A short period of sleep
685 often follows preening. The sequence of feeding, bathing, preening and sleeping may
686 be repeated a number of times during the day. Council of Europe recommendations
687 (Council of Europe 1999) state that “Access to an outside run and water for bathing is
688 necessary for ducks, as water birds, to fulfill their biological requirements. Where
689 such access is not possible, the ducks must be provided with water facilities sufficient
690 in number and so designed to allow water to cover the head and be taken up by the
691 beak so that the duck can shake water over the body without difficulty. The ducks
692 should be allowed to dip their heads under water” (article 10, point 2).

693 The provision of a good open water system such as troughs improves eye, nostril and
694 feather condition and reduces disease (Knierim *et al* 2004; Jones *et al* 2009; Jones &
695 Dawkins 2010a, b; O’Driscoll & Broom 2011; O’Driscoll & Broom 2012, Liste *et al*
696 2012). Water troughs must be wide enough and deep enough so that ducks can
697 immerse and wet their head fully, and long enough so that there is no competition
698 between ducks for access although it may not be necessary for all birds to bathe
699 simultaneously (Waite *et al* 2009). The Cepso brochure (Cepso 2013) states that there

700 should be at least 800 mm length of water trough per cage, but it is not clear if this is
701 dependent on group size. In addition, the width and depth dimensions of the troughs
702 are not supplied. While studies state that water troughs are provided for drinking and
703 head immersion, to our knowledge none published so far have examined whether the
704 troughs are actually used for what they are intended, or reported on water cleanliness
705 and duck behaviour at the troughs.
706 Dimensions are available for troughs used in experimental conditions in British
707 studies of farmed ducks, eg: 950 mm long, 125 mm wide and 80 mm deep (Jones *et al*
708 2009; Waitt *et al* 2009) or 1600 mm long, 150 mm wide and 100 mm deep
709 (O’Driscoll & Broom 2011, Liste *et al* 2012, 2013). However, ducks in these studies
710 are younger, smaller and lighter than ducks at force-feeding, and the troughs are often
711 placed on the ground rather than attached to cages. Little attention seems to have been
712 paid to water trough dimensions in other studies, or to whether the birds are able to
713 perform immersive behaviour in addition to drinking, or to water cleanliness and
714 trough maintenance. As ducks lack sweat glands, immersion in water as well as
715 panting is a vital homeostatic mechanism for thermoregulation in force-fed birds
716 subjected to a high level of thermal stress due to the ingestion of large amounts of
717 food.
718 When mulard ducks are kept in individual cages, they have access to water via nipple
719 drinkers (Rodenburg *et al* 2005) or via troughs but, because of the restrictive cage, the
720 type of trough and increasing bird size, they may not be able to immerse their heads
721 fully, spread water over their feathers and self-groom. It is notable that they are
722 unable to keep themselves clean, especially towards the end of force-feeding. Force-
723 feeding with maize mash is messy and it not clear whether group housing results in
724 cleaner birds with improved welfare.

725

726 **Other welfare issues**

727

728 ***The human-animal relationship***

729

730 In the case of foie gras production, the relationship between the stockman (the force-
731 feeder) and the force-fed ducks has received little attention despite the major impacts
732 stockmanship has on animal welfare (Boivin *et al* 2003; Hemsworth 2007). Perhaps
733 this is because the force-feeder is often only involved in the final stage rather than in
734 the whole production process, and their work is normally restricted to force-feeding
735 and cleaning activities. Concerns have been raised that group housing (obligatory as
736 of January 2016) makes the force-feeder’s work harder and take longer (Litt 2010).
737 Workers have to modify their technique and movements, and access to birds is more
738 difficult.

739 Fear responses in ducks include freezing, alarm calling, agitation, attempts to run
740 away rapidly and vigorous struggling if caught (Ekesbo 2011). There is substantial
741 evidence that negative interactions between humans and animals increase the animals’
742 fear (Boivin *et al* 2003; Hemsworth 2007); fearful animals are more difficult to
743 handle. Mulards show fear of humans (Arnaud *et al* 2008), and when force-fed they
744 pull back (‘movement de recul’) (Laborde & Voisin 2013). Difficulties in catching
745 and restraining birds for force-feeding led to the development of a containment
746 system using a crowd-gate, which reduces the birds’ ability to struggle, resist or
747 escape. The need for containment strongly indicates that ducks find the force-feeding
748 procedure aversive.

749 Domestic animals usually develop a relationship with the person looking after them,
750 especially if that person provides food and other positive resources such as bedding,
751 and activities such as talking, petting and grooming. Containment may make force-
752 feeding quicker and easier, but has a negative impact on the stockperson-animal
753 relationship. If ducks were being offered appropriate food and did not find the
754 procedure painful, frightening or otherwise aversive, there would be no need for
755 containment. Instead, they would move voluntarily towards the force-feeder and stay
756 still while being fed because food is a necessary and desirable resource supplied by
757 the feeder. Habituation is defined as a decrease in responding resulting from repeated
758 stimulation (Shettleworth 2010), providing that it is not due to sensory adaptation or
759 motor fatigue. Habituation to an extremely unpleasant stimulus is less likely than to a
760 slight one, and is also unlikely if the stimulus remains biologically relevant
761 (Shettleworth 2010). Habituation to force-feeding is unlikely to occur.

762

763 ***Control over the environment and motivation***

764

765 A major objection to the practice of foie gras production is that the birds cannot choose
766 what, when and how much they will eat. They cannot show a food preference or feed
767 spontaneously. They are the only farmed species that is not able to feed by expressing
768 normal feeding behaviour, and are fed considerably more than they would eat
769 voluntarily. They receive this food without having the possibility to forage in a
770 species-specific manner ie by pecking, nibbling and swallowing and, if there is access
771 to open water, dabbling, sieving and up-ending.

772 Motivated behaviours have two phases: an ‘appetitive’ phase in which the animals
773 search or prepare for the opportunity to perform a ‘consummatory’ phase (Mason &
774 Burns 2011). In the case of food, their expression is vital to the animal’s survival so
775 both phases are driven by strong motivations, and emotions appear to be important in
776 their control. Being unable to satisfy these strong motivations leads to frustration
777 (Mason & Burns 2011).

778 An important concept in relation to understanding animal welfare is the control which
779 an individual has over its environment (Broom 1991). Welfare is poorer when the
780 individual lacks control and is affected by the consequences (Broom 2008). Birds in
781 foie gras production cannot control their own feeding nor can they control the amount
782 and nature of their contact with humans. This lack of control leads to very poor
783 welfare.

784

785 ***The European Charter and the Welfare Quality® project***

786

787 In 2008 the European Federation of Foie Gras, consisting of all the representatives of
788 foie gras producing countries in the European Union, was signatory to a European
789 Charter on the “breeding of waterfowl for foie gras” (see
790 http://www.eurofoiegras.com/docs/EUROFOIEGRAS_CHARTE_UK.pdf). (The
791 term ‘élevage’ is not translated accurately here; the Charter is not about breeding but
792 about rearing and fattening, or production). The Charter is derived from the twelve
793 criteria of the Welfare Quality® project and uses the term ‘assisted feeding’ in the
794 English and ‘gavage’ in the French version. The Federation claims that “if performed
795 by professionals under regulated conditions, gavage does not cause any suffering to
796 the animals” (see http://www.eurofoiegras.com/en/page/euro-foie-gras_p134/). A
797 support programme called ‘Palmi G Confiance’ was created in 2014 to help foie gras
798 producers meet the standards of the European Charter with regard to animal welfare

799 and good practice. Researchers are working with the poultry industry to develop a
800 simple welfare assessment method that can be used on a large scale and is largely
801 based on animal measures. Some research is focussed on identifying measures easily
802 taken in the abattoir that are correlated with on-farm measures that are more difficult
803 to collect (Litt *et al* 2015a).

804 The four welfare principles and 12 criteria proposed by the Welfare Quality® project
805 (Welfare Quality® Consortium 2009) are a development of the Five Freedoms
806 (Brambell 1965). We have made a preliminary attempt at assessing the welfare of
807 ducks in foie gras production using the Welfare Quality® assessment system (Table
808 1). There are four columns in the Welfare Quality® assessment system. The first lists
809 the four welfare principles, and the second presents the criteria associated with each
810 of these principles. Using the information provided by this review, we have completed
811 the last two columns. In the third column we state whether the criterion is met or not,
812 and in the fourth we give examples of how the criterion is or is not met. We conclude
813 that only three of the 12 criteria and none of the welfare principles are met in current
814 systems of foie gras production.

815 Table 1 at end of paper

816

817 ***Other stages of foie gras production***

818

819 While the primary aim of this review has been to highlight the welfare problems in
820 the last stage of foie gras production, welfare problems have also been identified in
821 the first two stages. These include the early, frequent and rapid development of
822 contact dermatitis, fear of humans and high sensitivity to the environment, and lack of
823 access to open water for bathing or at least full immersion of the head. It seems that
824 under commercial conditions water is normally only provided by nipple drinkers,
825 despite ducks being aquatic animals who spend most of their lives close to or on water.

826

827 **Conclusions and animal welfare implications**

828

829 Force-fed birds are the only farmed species that is not able to feed by expressing
830 normal feeding behaviour. There is substantial evidence from behavioural
831 observations that force-feeding is aversive, and causes high mortality compared with
832 other duck production systems.

833 The physical condition of the birds deteriorates as they progress through the stages of
834 foie gras production. Force-feeding an unbalanced diet in large amounts causes
835 significant liver pathology. Hepatic steatosis has the potential to be fatal if force-
836 feeding is prolonged beyond 15 to 16 days. Force-feeding causes oesophagitis and
837 leads to other abnormalities such as gait disturbances, wing lesions, and bone
838 pathology which can result in fractures. Contact dermatitis, a painful skin condition, is
839 widespread, starts in the early stages of production, is present in all stages and can be
840 severe.

841 Due to their fear of humans, nervousness and sensitivity to the environment, mulard
842 ducks are maladapted to the conditions of foie gras production, especially during
843 force-feeding. When group-housed they keep away from the force-feeder; they have
844 to be rounded up and immobilised with crowd-gates in order to be force-fed. This
845 indicates that ducks regard the experience of being handled and force-fed as a
846 negative one, to be avoided. They are very susceptible to thermal stress due to the
847 large amounts of food force-fed, and this makes them spend a large proportion of their
848 time panting.

849 Housing provisions are poor, with small, barren group cages and a bare mesh floor;
850 resting places, litter or bedding are not provided despite Council of Europe
851 recommendations. It is not clear whether the troughs supplied on the cages of force-
852 fed ducks are effective for bathing or full head immersion, or enable them to keep
853 their plumage clean and to thermoregulate adequately In the first two stages of
854 production, access to open water suitable for bathing may be lacking; water supplied
855 in the form of nipple drinkers does not allow full immersion of the head.
856 The European Federation of Foie Gras claims that “if performed by professionals
857 under regulated conditions, gavage does not cause any suffering to the animals.” We
858 conclude from this literature review that force-feeding causes very poor welfare in
859 ducks and should not be practised. In the future, the production of foie gras in ducks
860 without the need to force-feed may become possible. In order to prevent the
861 accumulation of toxic substances and other adverse effects on welfare due to liver
862 malfunction, maximum liver weights should be specified and based on scientific
863 studies. To avoid poor welfare associated with inadequate housing and management,
864 birds should be checked before and after slaughter using animal-based welfare
865 outcome indicators. For example, maximum acceptable prevalences of contact
866 dermatitis, posture and walking difficulties, wing fractures and other body lesions
867 could be established.

868

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870

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878

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1237 Sustainable Technology (IST) Report TR-040, IST Center, Institute of Natural
1238 Resource Sustainability, University of Illinois at Urbana-Champaign, USA.
1239 http://www.istc.illinois.edu/info/library_docs/tr/tr40.pdf

- 1 Table 1. Principles and criteria that underpin the Welfare Quality® assessment system,
- 2 and whether they are met by force-feeding of mulard ducks

Welfare principles	Criterion	Is it met?	Example of how criterion is or is not met
Good feeding	Animals should not suffer from prolonged hunger, ie they should have a sufficient & appropriate diet.	No	Duck is fed a diet that is neither appropriate nor sufficient (diet is excessive); it cannot regulate its intake to achieve satiety & homeostasis
	Animals should not suffer from prolonged thirst, ie they should have a sufficient & accessible water supply.	Yes	There may be problems with maintaining cleanliness, ensuring ease of access to water troughs & trough design
Good housing	Animals should have comfort around resting.	No	There is no resting area & no bedding, the floor consists of wire or plastic mesh
	Animals should have thermal comfort, ie they should neither be too hot nor too cold.	No	There is thermal stress due to large amounts of high energy food leading to prolonged panting
	Animals should have enough space to be able to move around freely.	Yes	More behavioural research is necessary to confirm optimal cage size & design & stocking density
Good health	Animals should be free of	No	Injuries due to containment,

	physical injuries.		capture, handling & force-feeding occur
	Animals should be free of disease, ie farmers should maintain high standards of hygiene & care	No	Footpad & hock dermatitis, lesions to breastbone are frequent & often severe; liver steatosis is caused deliberately
	Animals should not suffer pain induced by inappropriate management, handling, slaughter, or surgical procedures (eg castration, dehorning).	No	Containment, capture, handling & force-feeding may be sources of pain; high prevalence of wing lesions caused by handling & transport to abattoir
Appropriate behaviour	Animals should be able to express normal, non-harmful, social behaviours, eg grooming.	Yes	Further research needed on social behaviour in group housing, optimal group size & social behaviours, signs of good welfare
	Animals should be able to express other normal behaviours, ie it should be possible to express species-specific natural behaviours such as foraging.	No	There is no substratum for foraging; further research is necessary on the use of water troughs, preening & grooming behaviours
	Animals should be handled well in all situations, ie	No	Catching, handling & force-feeding do not promote good

	handlers should promote good human-animal relationships.		human-animal relationships; poor handling during transport prior to slaughter causes wing lesions
	Negative emotions such as fear, distress, frustration or apathy should be avoided whereas positive emotions such as security or contentment should be promoted	No	Fear, distress, frustration, pain & other negative emotions are very likely when ducks are subjected to the stages of foie gras production, especially during force-feeding. Problem of nervousness & hyper-reactivity in mulard ducks