



## Autism

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Autism is a set of heterogeneous neurodevelopmental conditions, characterised by early-onset difficulties in social communication and unusually restricted, repetitive behaviour and interests. The worldwide population prevalence is about 1%. Autism affects more male than female individuals, and comorbidity is common (>70% have concurrent conditions). Individuals with autism have atypical cognitive profiles, such as impaired social cognition and social perception, executive dysfunction, and atypical perceptual and information processing. These profiles are underpinned by atypical neural development at the systems level. Genetics has a key role in the aetiology of autism, in conjunction with developmentally early environmental factors. Large-effect rare mutations and small-effect common variants contribute to risk. Assessment needs to be multidisciplinary and developmental, and early detection is essential for early intervention. Early comprehensive and targeted behavioural interventions can improve social communication and reduce anxiety and aggression. Drugs can reduce comorbid symptoms, but do not directly improve social communication. Creation of a supportive environment that accepts and respects that the individual is different is crucial.

### Definition

In 1943, child psychiatrist Leo Kanner described eight boys and three girls,<sup>1</sup> including 5-year-old Donald who was “happiest when left alone, almost never cried to go with his mother, did not seem to notice his father’s home-comings, and was indifferent to visiting relatives...wandered about smiling, making stereotyped movements with his fingers... spun with great pleasure anything he could seize upon to spin...Words to him had a specifically literal, inflexible meaning...When taken into a room, he completely disregarded the people and instantly went for objects”. In 1944, paediatrician Hans Asperger described four boys,<sup>2</sup> including 6-year-old Fritz who “learnt to talk very early... quickly learnt to express himself in sentences and soon talked ‘like an adult’...never able to become integrated into a group of playing children...did not know the meaning of respect and was utterly indifferent to the authority of adults...lacked distance and talked without shyness even to strangers...it was impossible to teach him the polite form of address...Another strange phenomenon...was the occurrence of certain stereotypic movements and habits”.

These seminal reports<sup>1,2</sup> vividly portray what is now called autism or the autism spectrum. The spectrum is wide, encompassing classic Kanner’s syndrome (originally entitled autistic disturbances of affective contact) and Asperger’s syndrome (originally called autistic psychopathy in childhood). Understanding of autism has

evolved substantially in the past 70 years, with an exponential growth in research since the mid-1990s (figure). Autism is now thought of as a set of neurodevelopmental conditions, some of which can be attributed to distinct aetiological factors, such as Mendelian single-gene mutations. However, most are probably the result of complex interactions between genetic and non-genetic risk factors. The many types are collectively defined by specific behaviours, centring on atypical development in social communication and unusually restricted or repetitive behaviour and interests.

The mid-20th century view of autism as a form of childhood psychosis is no longer held. The first operational definition appeared in the third edition of the Diagnostic and Statistical Manual of Mental Disorders (DSM-III), and was strongly influenced by Michael Rutter’s conceptualisation of impaired social development and communicative development, insistence on sameness, and onset before 30 months of age.<sup>3</sup> The subsequent revisions in the fourth edition (DSM-IV) and the 10th revision of the International Classification of Diseases (ICD-10), in which autism was referred to as pervasive developmental disorder, emphasised the early onset of a triad of features: impairments in social interaction; impairments in communication; and restricted, repetitive, and stereotyped behaviour, interests, and activities.

The latest revision of DSM—DSM-5, published in May, 2013<sup>4</sup>—adopted the umbrella term autism spectrum disorder without a definition of subtypes, and reorganised the triad into a dyad: difficulties in social communication and social interaction; and restricted and repetitive behaviour, interests, or activities (table 1). Atypical language development (historically linked to an autism diagnosis) was removed from the criteria, and is now classified as a co-occurring condition, even though large variation in language is characteristic of autism.<sup>5</sup> The new criteria give improved descriptions and organisation of key features, emphasise the dimensional nature of autism, provide one diagnostic label with individualised specifiers, and allow for an assessment of the individual’s need for support (helping provision of clinical services).<sup>6</sup>

### Search strategy and selection criteria

We searched PubMed, PsycINFO, the Cochrane Library, and Google Scholar for reports published between Jan 1, 2000, and June 20, 2013. We used the search terms “autism”, “autism spectrum disorder”, “pervasive developmental disorder”, and “Asperger syndrome”. We searched for other relevant earlier reports in the reference lists of reports identified through the database search. We mainly report summary findings from systematic reviews, meta-analyses, authoritative book chapters, and research articles published since 2008. We cite major updated reviews to provide further reading.

How prevalence estimates will be affected by the new criteria and how autism spectrum disorder will relate to the newly created social (pragmatic) communication disorder (defined by substantial difficulties with social uses of both verbal and non-verbal communication, but otherwise not meeting criteria for autism spectrum disorder) remain to be assessed.

Autism could potentially be subgrouped at clinical (eg, by developmental pattern or trajectory and comorbidity), cognitive, and aetiological levels (eg, by genetic and environmental correlates).<sup>6</sup> Although the term autism spectrum disorder is frequently used, the term autism spectrum condition also signals a biomedical diagnosis for which individuals need support and recognises areas in which affected individuals are different from those without autism, but without the negative overtones of the disorder label.

## Epidemiology

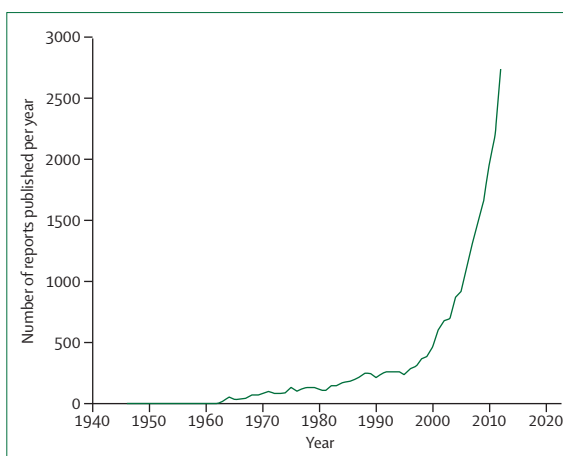
### Prevalence

The prevalence of autism has been steadily increasing since the first epidemiological study,<sup>7</sup> which showed that 4·1 of every 10 000 individuals in the UK had autism. The increase is probably partly a result of changes in diagnostic concepts and criteria.<sup>8</sup> However, the prevalence has continued to rise in the past two decades, particularly in individuals without intellectual disability, despite consistent use of DSM-IV criteria.<sup>9</sup> An increase in risk factors cannot be ruled out. However, the rise is probably also due to improved awareness and recognition, changes in diagnosis, and younger age of diagnosis.<sup>10,11</sup>

Nowadays, the median worldwide prevalence of autism is 0·62–0·70%,<sup>10,11</sup> although estimates of 1–2% have been made in the latest large-scale surveys.<sup>12–19</sup> A similar prevalence has been reported for adults alone.<sup>20</sup> About 45% of individuals with autism have intellectual disability,<sup>11</sup> and 32% have regression (ie, loss of previously acquired skills; mean age of onset 1·78 years).<sup>21</sup>

Early studies showed that autism affects 4–5 times more males than females, although the difference decreased in individuals with intellectual disability.<sup>11</sup> However, large-scale population-based studies<sup>12,13,16,19</sup> have shown that 2–3 times more males are affected, probably irrespective of intellectual disability. Females with autism might have been under-recognised.<sup>22</sup> Empirical data suggest high-functioning females are diagnosed later than males are,<sup>23,24</sup> and indicate a diagnostic bias towards males.<sup>25</sup> Females need more concurrent behavioural or cognitive problems than males do to be clinically diagnosed.<sup>26</sup> This diagnostic bias might be a result of behavioural criteria for autism or gender stereotypes, and might reflect better compensation or so-called camouflage in females.<sup>6,26–29</sup>

Nevertheless, a male predominance is a consistent epidemiological finding that has aetiological implications. It could imply female-specific protective effects, such that females would have to have a greater aetiological



**Figure: The growth of autism research**

Almost three times as many reports about autism were published between 2000 and 2012 (n=16 741), as between 1940 and 1999 (n=6054). These calculations are based on a keyword search of PubMed with the term “autism” OR “autism spectrum disorder” OR “pervasive developmental disorder” OR “Asperger syndrome”.

Features	
<b>Core features in DSM-5 criteria*</b>	
Persistent deficits in social communication and social interaction across multiple contexts	Deficits in social-emotional reciprocity Deficits in non-verbal communicative behaviours used for social interaction Deficits in developing, maintaining, and understanding relationships
Restricted, repetitive patterns of behaviour, interests, or activities	Stereotyped or repetitive motor movements, use of objects, or speech Insistence on sameness, inflexible adherence to routines, or ritualised patterns of verbal or non-verbal behaviour Highly restricted, fixated interests that are abnormal in intensity or focus Hyper-reactivity or hyporeactivity to sensory input or unusual interest in sensory aspects of the environment
<b>Associated features not in DSM-5 criteria</b>	
Atypical language development and abilities	Age <6 years: frequently deviant and delayed in comprehension; two-thirds have difficulty with expressive phonology and grammar Age ≥6 years: deviant pragmatics, semantics, and morphology, with relatively intact articulation and syntax (ie, early difficulties are resolved)
Motor abnormalities	Motor delay; hypotonia; catatonia; deficits in coordination, movement preparation and planning, praxis, gait, and balance
Excellent attention to detail	..
For version with full references, see appendix. DSM-5=Diagnostic and Statistical Manual of Mental Disorders, 5th edition. *Information reproduced from DSM-5, <sup>4</sup> by permission of the American Psychiatric Association.	
<b>Table 1: Behavioural characteristics of autism</b>	

(genetic or environmental) load than would males to reach the diagnostic threshold. These protective effects would mean that relatives of female probands would have an increased risk of autism or more autistic characteristics than would relatives of male probands.<sup>30</sup> Alternatively, male-specific risks could heighten susceptibility.<sup>22,31</sup> The existence of sex-linked aetiological load and susceptibility emphasises the importance of stratification by sex, and of comparisons between males and females to disentangle the aetiological role of sex-linked factors at genetic, endocrine, epigenetic, and environmental levels.

	Proportion of individuals with autism affected	Comments
<b>Developmental</b>		
Intellectual disability	~45%	Prevalence estimate is affected by the diagnostic boundary and the definition of intelligence (eg, whether verbal ability is used as a criterion) In individuals, discrepant performance between subtests is common
Language disorders	Variable	In DSM-IV, language delay was a defining feature of autism (autistic disorder), but is no longer included in DSM-5 An autism-specific language profile (separate from language disorders) exists, but with substantial inter-individual variability
Attention-deficit hyperactivity disorder	28–44%	In DSM-IV, not diagnosed when occurring in individuals with autism, but no longer so in DSM-5 Clinical guidance available
Tic disorders	14–38%	~6.5% have Tourette's syndrome
Motor abnormality	≤79%	See table 1
<b>General medical</b>		
Epilepsy	8–30%	Increased frequency in individuals with intellectual disability or genetic syndromes Two peaks of onset: early childhood and adolescence Increases risk of poor outcome Clinical guidance available
Gastrointestinal problems	9–70%	Common symptoms include chronic constipation, abdominal pain, chronic diarrhoea, and gastro-oesophageal reflux Associated disorders include gastritis, oesophagitis, gastro-oesophageal reflux disease, inflammatory bowel disease, coeliac disease, Crohn's disease, and colitis Clinical guidance available
Immune dysregulation	≤38%	Altered immune function, which interacts with neurodevelopment, could be a crucial biological pathway underpinning autism Associated with allergic and autoimmune disorders
Genetic syndromes	~5%	Collectively called syndromic autism Examples include fragile X syndrome (21–50% of individuals affected have autism), Rett syndrome (most have autistic features but with profiles different from idiopathic autism), tuberous sclerosis complex (24–60%), Down's syndrome (5–39%), phenylketonuria (5–20%), CHARGE syndrome (coloboma of the eye; heart defects; atresia of the choanae; retardation of growth and development, or both; genital and urinary abnormalities, or both; and ear abnormalities and deafness; 15–50%), Angelman syndrome (50–81%), Timothy syndrome (60–70%), and Joubert syndrome (~40%)
Sleep disorders	50–80%	Insomnia is the most common Clinical guidance available
<b>Psychiatric</b>		
Anxiety	42–56%	Common across all age groups Most common are social anxiety disorder (13–29% of individuals with autism; clinical guidance available) and generalised anxiety disorder (13–22%) High-functioning individuals are more susceptible (or symptoms are more detectable)
Depression	12–70%	Common in adults, less common in children High-functioning adults who are less socially impaired are more susceptible (or symptoms are more detectable)
Obsessive-compulsive disorder	7–24%	Shares the repetitive behaviour domain with autism that could cut across nosological categories Important to distinguish between repetitive behaviours that do not involve intrusive, anxiety-causing thoughts or obsessions (part of autism) and those that do (and are part of obsessive-compulsive disorder)
Psychotic disorders	12–17%	Mainly in adults Most commonly recurrent hallucinosis High frequency of autism-like features (even a diagnosis of autism spectrum disorder or pervasive developmental disorder) preceding adult-onset (52%) and childhood-onset schizophrenia (30–50%)
Substance use disorders	≤16%	Potentially because individual is using substances as self-medication to relieve anxiety
Oppositional defiant disorder	16–28%	Oppositional behaviours could be a manifestation of anxiety, resistance to change, stubborn belief in the correctness of own point of view, difficulty seeing another's point of view, poor awareness of the effect of own behaviour on others, or no interest in social compliance
Eating disorders	4–5%	Could be a misdiagnosis of autism, particularly in females, because both involve rigid behaviour, inflexible cognition, self-focus, and focus on details
<b>Personality disorders*</b>		
Paranoid personality disorder	0–19%	Could be secondary to difficulty understanding others' intentions and negative interpersonal experiences
Schizoid personality disorder	21–26%	Partly overlapping diagnostic criteria Similar to Wing's loners subgroup
Schizotypal personality disorder	2–13%	Some overlapping criteria, especially those shared with schizoid personality disorder
Borderline personality disorder	0–9%	Could have similarity in behaviours (eg, difficulties in interpersonal relationships, misattributing hostile intentions, problems with affect regulation), which requires careful differential diagnosis Could be a misdiagnosis of autism, particularly in females
Obsessive-compulsive personality disorder	19–32%	Partly overlapping diagnostic criteria
Avoidant personality disorder	13–25%	Could be secondary to repeated failure in social experiences

(Continues on next page)

	Proportion of individuals with autism affected	Comments
(Continued from previous page)		
<b>Behavioural</b>		
Aggressive behaviours	≤68%	Often directed towards caregivers rather than non-caregivers Could be a result of empathy difficulties, anxiety, sensory overload, disruption of routines, and difficulties with communication
Self-injurious behaviours	≤50%	Associated with impulsivity and hyperactivity, negative affect, and lower levels of ability and speech Could signal frustration in individuals with reduced communication, as well as anxiety, sensory overload, or disruption of routines Could also become a repetitive habit Could cause tissue damage and need for restraint
Pica	~36%	More likely in individuals with intellectual disability Could be a result of a lack of social conformity to cultural categories of what is deemed edible, or sensory exploration, or both
Suicidal ideation or attempt	11–14%	Risks increase with concurrent depression and behavioural problems, and after being teased or bullied
For version with full references, see appendix. DSM-IV=Diagnostic and Statistical Manual of Mental Disorders, 4th edition. DSM-5=Diagnostic and Statistical Manual of Mental Disorders, 5th edition. *Particularly in high-functioning adults.		
<b>Table 2: Common co-occurring conditions</b>		

### Risk and protective factors

Epidemiological studies have identified various risk factors,<sup>32</sup> but none has proven to be necessary or sufficient alone for autism to develop. Understanding of gene-environment interplay in autism is still at an early stage.<sup>33</sup> Advanced paternal or maternal reproductive age, or both, is a consistent risk;<sup>34–36</sup> the underlying biology is unclear, but could be related to germline mutation, particularly when paternal in origin.<sup>37–41</sup> Alternatively, individuals who have children late in life might do so because they have the broader autism phenotype—ie, mild traits characteristic of autism—which is known to be associated with having a child with autism,<sup>42</sup> although this idea needs further research. Additionally, prevalence of autism has been reported to be two times higher in cities where many jobs are in the information-technology sector than elsewhere; parents of children with autism might be more likely to be technically talented than are other parents.<sup>43</sup>

Gestational factors that could affect neurodevelopment, such as complications during pregnancy<sup>44,45</sup> and exposure to chemicals,<sup>32,46–49</sup> have been suggested to increase risk of autism. A broad, non-specific class of conditions reflecting general compromises to perinatal and neonatal health is also associated with increased risk.<sup>50</sup> Conversely, folic acid supplements before conception and during early pregnancy seem to be protective.<sup>51</sup> There is no evidence that the MMR (measles, mumps, and rubella) vaccine,<sup>52</sup> thiomersal-containing vaccines,<sup>53</sup> or repeated vaccination<sup>54</sup> cause autism.

### Co-occurring conditions

More than 70% of individuals with autism have concurrent medical, developmental, or psychiatric conditions (table 2)<sup>55–59</sup>—a higher proportion than that for psychiatric outpatients<sup>60</sup> and patients in tertiary hospitals.<sup>61</sup> Childhood co-occurring conditions tend to persist into adolescence.<sup>62</sup> Some co-occurring conditions, such as epilepsy and depression (table 2), can first develop in adolescence or adulthood. Generally, the more co-occurring conditions,

the greater the individual's disability.<sup>58</sup> The high frequency of comorbidity could be a result of shared pathophysiology, secondary effects of growing up with autism, shared symptom domains and associated mechanisms, or overlapping diagnostic criteria.

See Online for appendix

### Prognosis and outcome

A meta-analysis<sup>63</sup> showed that individuals with autism have a mortality risk that is 2.8 times higher (95% CI 1.8–4.2) than that of unaffected people of the same age and sex. This difference is mostly related to co-occurring medical conditions.<sup>64</sup> Studies done before the widespread application of early intervention programmes<sup>65–67</sup> showed that 58–78% of adults with autism have poor or very poor outcomes in terms of independent living, educational attainment, employment, and peer relationships. Higher childhood intelligence, communicative phrase speech before age 6 years, and fewer childhood social impairments predict a better outcome.<sup>65–67</sup> Yet, even for individuals without intellectual disability, adult social outcome is often unsatisfactory in terms of quality of life and achievement of occupational potential,<sup>67</sup> although it is associated with cognitive gain and improved adaptive functioning during development.<sup>68</sup> Childhood follow-up studies have shown varying developmental trajectories in children with autism<sup>69,70</sup> and in their siblings.<sup>71</sup> The best possible outcome—ie, reversal of diagnosis, negligible autistic symptoms, and normal social communication—has also been reported.<sup>72</sup>

Transition to adulthood, which often involves loss of school support and child and adolescent mental health services, is a challenge. The end of secondary education is often accompanied by slowed improvement, probably due to reduced occupational stimulation<sup>73</sup> and insufficient adult services.<sup>74</sup> More than half of young people in the USA who have left secondary education in the past 2 years are not participating in any paid work or education.<sup>75</sup> The mean proportion of adults with autism in employment (regular, supported, or sheltered) or

full-time education is 46%.<sup>76</sup> Furthermore, little is known about how ageing affects people with autism.<sup>76,77</sup>

### Early signs and screening

Early identification allows early intervention. Previously, children with autism were often identified when older than 3–4 years, but toddlers are now frequently diagnosed because atypical development is recognised early. Early indicators are deficits or delays in the emergence of joint attention (ie, shared focus on an object) and pretend play, atypical implicit perspective taking, deficits in reciprocal affective behaviour, decreased response to own name, decreased imitation, delayed verbal and non-verbal communication, motor delay, unusually repetitive behaviours, atypical visuomotor exploration, inflexibility in disengaging visual attention, and extreme variation in temperament.<sup>78,79</sup> These indicators contribute to screening and diagnostic instruments for toddlers.<sup>79</sup> However, identification of high-functioning individuals is still often later than it should be,<sup>80</sup> particularly for females.<sup>23,24</sup>

Variability in age, cognitive ability, and sex leads to differential presentation and the need for appropriate screening instruments (table 3). Care should be taken during selection of screening instruments (and the cutoff for further action), because the target sample and purpose of screening vary.<sup>81</sup> Routine early screening at ages 18 and 24 months has been recommended.<sup>82</sup> The advantages and disadvantages of action after a positive result should be carefully considered,<sup>83</sup> as should the identification and management of individuals who have false-positive results.

Studies of siblings of probands from an early age could potentially identify early behavioural and neural predictors of emerging autism.<sup>78</sup> Signs of autism are not reliably present at birth, but emerge through a process of diminishing, delayed, or atypical development of social-communication behaviours, starting between the ages of 6 and 12 months.<sup>84</sup> Examples of potential predictors of a subsequent autism diagnosis are poor attention to social scenes or human faces at age 6 months,<sup>85</sup> little infant–parent interaction (reduced dyadic mutuality, including shared attention, infant acceptance of parental involvement, playing together, interactive flow, and shared body orientation; infant positive affect; and attentiveness to parent) at age 12 months,<sup>86</sup> and reduced flexibility in control of visual attention or orientation (disengagement) at ages 7 months<sup>87</sup> and 14 months.<sup>88</sup> Brain response when infants view faces with dynamic eye gaze at age 6–10 months (measured by event-related potential) predicts an autism diagnosis at 36 months.<sup>89</sup> Developmental trajectory of white-matter-tract organisation from age 6 to 24 months predicts diagnosis at 24 months.<sup>90</sup> Even some high-risk siblings who do not qualify for an autism diagnosis by age 3 years still have residual signs of delayed development and more autistic signs than do low-risk siblings, suggesting that developmental surveillance and early intervention is also important for these individuals.<sup>91</sup>

### Clinical assessment

Diagnostic assessment should be multidisciplinary and use a developmental framework of an interview with the parent or caregiver, interaction with the individual, collection of information about behaviour in community settings (eg, school reports and job performance), cognitive assessments, and a medical examination.<sup>92</sup> Co-occurring conditions should be carefully screened.

The interview of the parent or caregiver should cover the gestational, birth, developmental, and health history, and family medical and psychiatric history. It should have specific foci: the development of social, emotional, language and communication, cognitive, motor, and self-help skills; the sensory profile; and unusual behaviours and interests. Behavioural presentation across different contexts should be investigated. Ideally, a standardised, structured interview should be incorporated into the assessment process (table 3). Adaptive skills should be checked with standardised instruments (eg, Vineland adaptive behaviour scales). In children, parent–child interaction and parent coping strategies should be specifically investigated, because they are relevant for the planning of interventions.

Interviews with the individual should be interactive and engaging to enable assessment of social-communication characteristics in both structured and unstructured contexts. Again, information should ideally be gathered with standardised instruments (table 3). For adolescents and adults capable of reporting their inner state, self-report questionnaires are helpful (table 3), but their validity should be weighed against the individual's level of insight. How individuals cope in a peer environment should also be assessed.

School reports and job performance records are valuable data indicating an individual's strengths and difficulties in real-life settings. They also help with individualisation of educational and occupational planning. Cognitive assessments of intelligence and language are essential; standardised, age-appropriate, and development-appropriate instruments should be used to measure both verbal and non-verbal ability.<sup>92</sup> Neuropsychological assessments are helpful for individualised diagnosis and service planning.

A medical examination is important in view of the high frequency of comorbidity. Physical and neurological examinations (eg, head circumference, minor physical anomalies and skin lesions, and motor function)<sup>93</sup> and genetic analyses (eg, G-banded karyotype analysis, *FMRI* testing, and particularly chromosomal microarray analysis)<sup>94,95</sup> should be done. Other laboratory tests—eg, electroencephalography when awake and asleep if seizures are suspected, neuroimaging when intracranial lesions are suspected, and metabolic profiling when neurometabolic disorders are suspected—can be done as necessary.

### Cognition and neuroscience

In the mid-20th century, autism was thought to originate from the emotional coldness of the child's mother, even

	Age	Description
<b>Screening: young children</b>		
Checklist for autism in toddlers (CHAT)	18 months	14-item questionnaire: nine completed by parent or caregiver and five by primary health-care provider; takes 5–10 min
Early screening of autistic traits (ESAT)	14 months	14-item questionnaire: completed by health practitioners at well-baby visit after interviewing parent or caregiver; takes 5–10 min
Modified checklist for autism in toddlers (M-CHAT)	16–30 months	23-item questionnaire: completed by parent or caregiver; takes 5–10 min
Infant toddler checklist (ITC)	6–24 months	24-item questionnaire: completed by parent or caregiver; takes 5–10 min
Quantitative checklist for autism in toddlers (Q-CHAT)	18–24 months	25-item questionnaire: completed by parent or caregiver; takes 5–10 min; ten-item short version available
Screening tool for autism in children aged 2 years (STAT)	24–36 months	12 items and activities: assessed by clinician or researcher after interacting with the child; takes 20 min; intensive training necessary; level-two screening measure
<b>Screening: older children and adolescents</b>		
Social communication questionnaire (SCQ)	>4 years (and mental age >2 years)	40-item questionnaire: completed by parent or caregiver; takes 10–15 min
Social responsiveness scale, first or second edition (SRS, SRS-2)	>2.5 years	65-item questionnaire: completed by parent, caregiver, teacher, relative, or friends (self-report form available for adult in SRS-2); takes 15–20 min
Childhood autism screening test (CAST)	4–11 years	37-item questionnaire: completed by parent or caregiver; takes 10–15 min
Autism spectrum screening questionnaire (ASSQ)*	7–16 years	27-item questionnaire: completed by parent, caregiver, or teacher; takes 10 min
Autism spectrum quotient (AQ), child and adolescent versions*	Child: 4–11 years; adolescent: 10–16 years	50-item questionnaire: completed by parent or caregiver; takes 10–15 min; ten-item short versions available
<b>Screening: adults</b>		
Autism spectrum quotient (AQ), adult version*	>16 years (with average or above-average intelligence)	50-item questionnaire: self-report; takes 10–15 min; ten-item short version available
The Ritvo autism Asperger diagnostic scale-revised (RAADS-R)	>18 years (with average or above-average intelligence)	80-item questionnaire: self-report; done with a clinician; takes 60 min
<b>Diagnosis: structured interview</b>		
The autism diagnostic interview-revised (ADI-R)	Mental age >2 years	93-item interview of parent or caregiver; takes 1.5–3 h; intensive training necessary
The diagnostic interview for social and communication disorders (DISCO)	All chronological and mental ages	362-item interview of parent or caregiver; takes 2–4 h; intensive training necessary
The developmental, dimensional, and diagnostic interview (3Di)	>2 years	266-item computer-assisted interview of parent or caregiver; takes 2 h; 53-item short form available, which takes 45 min; intensive training necessary
<b>Diagnosis: observational measure</b>		
The autism diagnostic observation schedule, first or second edition (ADOS, ADOS-2)	>12 months	Clinical observation via interaction: select one from five available modules according to expressive language level and chronological age; takes 40–60 min; intensive training necessary
Childhood autism rating scale, first or second edition (CARS, CARS-2)	>2 years	15-item rating scale: completed by clinician or researcher; takes 20–30 min; accompanied by a questionnaire done by parent or caregiver; moderate training necessary

For version with full references and for sources, see appendix. \*Particularly sensitive for high-functioning individuals.

**Table 3: Screening and diagnostic instruments**

though this hypothesis had no empirical support. By contrast, concurrent neurobiological hypotheses<sup>96</sup> and Kanner's proposal of an "innate inability to form the usual, biologically provided affective contact with people"<sup>1</sup> have received scientific support. Cognition and neurobiology are related, and their development is characterised by a complex interplay between innate and environmental factors. Cognition provides a guide to simplify the various behavioural manifestations of autism, and can help investigation of underpinning neurobiology.<sup>97</sup> Cognitive perspectives of autism can be grouped according to domains of concern (table 4), although they are by nature interlinked.

Since impaired theory of mind was specifically reported in children with autism in 1985,<sup>98</sup> difficulties with mentalising—ie, understanding of mental states in both self and others—are believed to be core to social-communication deficits (table 4). Studies<sup>99,100</sup> have confirmed that development is atypical not only for the behavioural expressions of mentalising, but also for their developmental precursors in triadic social interaction (eg, joint attention and pretend play) and dyadic social perception (eg, eye contact, emotion perception, action-perception mirroring, social orienting, biological motion processing, and face processing).

Although many (high-functioning) individuals with autism achieve some degree of explicit or controlled mentalising,<sup>101</sup> the implicit, automatic, and intuitive components are still impaired, even in adulthood.<sup>102</sup> Early-onset mentalising difficulties seem to be specific to autism, but late-onset deficits are reported in disorders such as schizophrenia.<sup>103</sup> Mentalising is closely entwined with executive control and language,<sup>104</sup> so that the dichotomous view of social versus non-social cognition is potentially misleading in autism.

Historically, the domain of mentalising has been largely centred on others, but self-referential cognition and its neural substrates are also atypical in autism.<sup>105,106</sup> Therefore, deficits in the social domain are not only about difficulties in the processing of information about other people, but also about processing of self-referential information, the relationship that self has in a social context, and the potential for using self as a proxy to understand the social world.

A consistent network of brain regions—including the medial prefrontal cortex, superior temporal sulcus, temporoparietal junction, amygdala, and fusiform gyrus—are hypoactive in autism across tasks in which social perception and cognition are used.<sup>100,107,108</sup> Dysfunction in the so-called mirror system (ie, brain regions that are active both when an individual performs an action and observes another person performing the same action) has been inconsistently implicated in imitation or observation of action or emotion in autism.<sup>109</sup> However, brain structures do not act separately. Although studies of autism showing atypical development of the so-called social brain are promising,<sup>100</sup> equal attention should be paid to how these brain structures interact with the rest of the neural system.

Executive dysfunction could underlie both the unusually repetitive stereotyped behaviours and social-communication deficits in autism (table 4). However, the consistency of reports has been challenged,<sup>110</sup> and impaired performance could be underpinned by difficulties with mentalising.<sup>111</sup> Imaging studies have shown

that frontal, parietal, and striatal circuitry are the main systems implicated in executive dysfunction in autism.<sup>107,108</sup> Executive dysfunction is not specific to autism; it is commonly reported in other neuropsychiatric conditions (although with different patterns). One view is that strong executive function early in life could protect at-risk individuals from autism or other neurodevelopmental conditions by compensating for deficits in other brain systems.<sup>112</sup>

Individuals with autism often have a preference for, and superiority in, processing of local rather than global sensory-perceptual features (table 4). Individuals without autism often show the opposite profile. This difference could explain the excellent attention to detail, enhanced sensory-perceptual processing and discrimination, and idiosyncratic sensory responsivity (ie, hyper-reactivity or hyporeactivity to sensory input or unusual interest in sensory features of the environment) in autism. It could also contribute to the exceptional abilities disproportionately recorded in individuals with autism.<sup>113,114</sup> Additionally, top-down information processing in individuals with autism is often characterised by reduced recognition of the global context,<sup>115</sup> and a strong preference to derive rule-based systems.<sup>113</sup> The neural bases are spatially distributed and task dependent, but converge on enhanced recruitment of primary sensory cortices, reduced recruitment of association and frontal cortices involved in top-down control,<sup>116</sup> and enhanced synchronisation of parietal-occipital circuits.<sup>117</sup>

## Neurobiology

Neurobiological investigation has identified patterns of brain perfusion and neural biochemical characteristics, which are described elsewhere.<sup>118,119</sup> Additionally, systems-level connectivity features and plausible neuroanatomical, cellular, and molecular underpinnings of autism have been identified. Evidence from electrophysiology and functional neuroimaging (resting-state and task-based connectivity),<sup>120</sup> structural neuroimaging (white-matter

	Main behavioural features	Main cognitive (psychological) constructs
Social cognition and social perception	Atypical social interaction and social communication	Gaze and eye contact; emotion perception; face processing; biological motion perception; social attention and orienting; social motivation; social reward processing; non-verbal communication; imitation; affective empathy and sympathy; joint attention; pretend play; theory of mind or mental perspective taking; self-referential cognition; alexithymia (difficulty understanding and describing own emotions); metacognitive awareness
Executive function	Repetitive and stereotyped behaviour; atypical social interaction and social communication	Cognitive flexibility; planning; inhibitory control; attention shifting; monitoring; generativity; working memory
Bottom-up and top-down (local vs global) information processing*	Idiosyncratic sensory-perceptual processing; excellent attention to detail; restricted interests and repetitive behaviour; atypical social interaction and social communication	Global vs local perceptual functioning (superior low-level sensory-perceptual processing); central coherence (global vs local preference); systemising (drive to construct rule-based systems, ability to understand rule-based systems, knowledge of factual systems)

For version with full references, see appendix. \*Local processing involves sensory and perceptual inputs; global processing involves higher-level cortical control.

**Table 4: Cognitive domains in autism research**

volume and microstructural properties),<sup>121–123</sup> molecular genetics (cell adhesion molecules and synaptic proteins, and excitatory–inhibitory imbalance),<sup>124</sup> and information processing have given rise to the idea that autism is characterised by atypical neural connectivity, rather than by a discrete set of atypical brain regions. Ideas about the precise way in which connectivity is atypical vary, from decreased fronto-posterior and enhanced parietal-occipital connectivity,<sup>117,125</sup> reduced long-range and increased short-range connectivity,<sup>126</sup> to temporal binding deficits.<sup>127</sup> Although none fully explains all the data (findings depend on the definition of connectivity, the developmental stage of the individual, the spatial and temporal scales, task *vs* no-task conditions, how motion artifacts are handled, and specific neural systems of concern), they support the heuristic value of the tenet that neural networks in autism are atypical in various ways.

One frequently reported neuroanatomical feature of autism is a trajectory of generalised early brain overgrowth when aged 6–24 months.<sup>128</sup> Other than increases in total brain volume, the amygdala is enlarged in young children with autism,<sup>129</sup> although this enlargement is no longer present by adolescence.<sup>130</sup> Early brain overgrowth tends to be reported more in boys who have developmental regression than in other subgroups,<sup>131</sup> and might be a result of generalised physical overgrowth<sup>132</sup> or biased norms of head circumference in past studies.<sup>133</sup> Additionally, meta-analyses suggest some consistent neuroanatomical differences across the lifespan in both grey-matter (eg, amygdala, hippocampus, and precuneus)<sup>134</sup> and white-matter structures (eg, arcuate and uncinate fasciculi).<sup>123</sup> A reduction in the volume of the corpus callosum is also a fairly consistent finding.<sup>122</sup> Many findings are age dependent,<sup>135</sup> indicating the importance of developmental change.

Post-mortem studies have shown a reduction in neuron number in the amygdala, fusiform gyrus, and cerebellum, and signs of persistent neuroinflammation.<sup>136</sup> However, most donated brain tissue is from older children, adolescents, and adults, so might not show early atypical development. One exception is a study of young children<sup>137</sup> that showed significant increases (rather than decreases) in neuron number in the prefrontal cortex.

Genes typically differentially expressed across frontal and temporal cortices are less differentially expressed in autism; gene networks implicated in neuronal mechanisms are underexpressed in autism and enriched with autism susceptibility genes, whereas gene networks involved in immune processes are overexpressed.<sup>138</sup> Neocortical dysgenesis marked by atypical patterning of cortical minicolumns (reduction in size, increased neuronal density, and increase in cell dispersion)<sup>139</sup> is also of interest and is potentially associated with atypical synaptogenesis and an imbalanced excitatory-to-inhibitory ratio,<sup>140</sup> both of which are important for neural connectivity.

Interaction between the immune and the nervous systems is substantial throughout life, challenging the

dogma of the so-called immune privilege of the CNS.<sup>141</sup> Frequency of immunological anomalies is increased in individuals with autism and their families.<sup>142</sup> In autism, altered immune processes affect a wide array of neurodevelopmental processes (eg, neurogenesis, proliferation, apoptosis, synaptogenesis, and synaptic pruning), with persistent active neuroinflammation, increased concentrations of pro-inflammatory cytokines in serum and cerebrospinal fluid, and altered cellular immune functions.<sup>143</sup> Maternal IgG antibodies that target the fetal brain or other gestational immune dysregulation could be pathogenic in some cases.<sup>144</sup> Neuroimmune mechanisms could have key roles in some aspects of the pathophysiology of autism, but the exact biology awaits clarification.

In autism, alterations in both serotonin and  $\gamma$ -aminobutyric-acid (GABA) systems have been reported quite consistently,<sup>145</sup> such as hyperserotonemia and an altered developmental trajectory of brain serotonin synthesis capacity, and reduction in the expression of GABA synthetic enzymes and receptors. Because of their relation with affiliative and social behaviours, the oxytocin and vasopressin systems' roles in social impairments in autism are an active focus of investigation, including treatment trials.<sup>146</sup> The role of androgens (and oestrogens) in modulation of risks and protections, particularly prenatally, in the emergence of autism is also being tested<sup>22,147</sup> in view of the accumulating evidence of a link between fetal testosterone and autistic traits.<sup>22</sup> Prenatal hormones could be associated with the extreme-male-brain cognitive profile of reduced mentalising and enhanced systemising in autism development.<sup>22</sup>

## Genetics

Twin studies have suggested that autism has high heritability (more than 80%).<sup>148</sup> This heritability occurs in the context of environmental risks and gene–environment interplay,<sup>33</sup> because the monozygotic concordance rates are never 100%. Epigenetic mechanisms and specific gene–environment interplay are important but understudied. From an evolutionary viewpoint, autistic traits could have been subject to positive selection pressure,<sup>149</sup> because of the potential benefits of a solitary single-minded obsessive focus on innovative understanding of a system.<sup>113</sup> Such individuals might have successfully traded products or their building and fixing skills, thus acquiring resources and increasing their reproductive fitness, which could have contributed to the maintenance of autism alleles in the gene pool.

The genetic architecture of autism has proved to be complex and heterogeneous, as shown by studies of cytogenetics, linkage, association, whole-genome linkage or association, and whole-genome or exome sequencing.<sup>124,150</sup> Many genetic variants linked to autism have a high degree of pleiotropy (ie, one gene affects more than one phenotype). A high degree of locus heterogeneity has also been reported, with speculations that up to 1000 genes are implicated.<sup>124,150</sup> Both rare



mutations with large effect sizes and common variations with smaller effect sizes have a role.<sup>124,151</sup>

Rare mutations (ie, minor allele frequency <5% in the general population) are frequently identified in autism and can occur in the form of Mendelian genetic syndromes (so-called syndromic autism, occurring in about 5% of all individuals with autism), chromosomal abnormalities (about 5%), rare copy number variations (5–10%),<sup>151–153</sup> and de novo and transmitted point mutations (single nucleotide variants) identified by exome sequencing.<sup>150,153</sup> De novo mutations (copy number variations in the form of microdeletion or microduplication, and single nucleotide variants in the form of nonsense, splice-site, and frameshift mutations) that occurred in the germline (especially paternal) have a large effect size and could be causal,<sup>37–41</sup> particularly in simplex cases (ie, when only one individual in the family has autism). Equally, copy number variations with moderate effect sizes and variable expressivity and penetrance could have some role.<sup>124</sup> However, each identified copy number variation only occurs in at most about 1% of individuals with autism, again suggesting substantial genetic heterogeneity.<sup>152</sup> Some of these rare mutations are clinically identifiable; therefore, screening is recommended as part of routine clinical examination.<sup>94,95</sup>

In terms of common variants (eg, single nucleotide polymorphisms with allele frequency >5% in the general population), genome-wide association studies have identified some important single nucleotide polymorphisms, but none has a large enough effect to be deemed causal.<sup>124</sup> However, up to 40% of simplex families and 60% of multiplex families (in which more than one individual has autism) could have several single nucleotide polymorphisms that, when combined, have an additive effect on risk.<sup>154</sup> Thus, common variability within single nucleotide polymorphisms could contribute to the emergence of autism, the associated features in families (the broader autism phenotype),<sup>42</sup> the increased incidence of autism in offspring of parents with increased autistic traits,<sup>149</sup> and autistic traits in the general population.<sup>155</sup> Contributions from rare and common genetic variants are not mutually exclusive.<sup>124</sup>

As the genetics of autism unfolds, information is continually updated. The rapid progress of genetics, along with animal model systems and systems biology methods will enable the identification of diverse aetiologies and common molecular and cellular pathways crucial for neurodevelopment in autism. Such clarification could affect how the autisms are classified, diagnosed, and treated in the future.

## Intervention

### Overview

Intervention and support should be individualised and, if appropriate, multidimensional and multidisciplinary. The goals are to maximise an individual's functional independence and quality of life through development and learning, improvements in social skills and

communication, reductions in disability and comorbidity, promotion of independence, and provision of support to families. Additionally, individuals should be helped to fulfil their potential in areas of strength. Although autism is rooted in biology, most effective interventions so far are behavioural and educational; drugs have had only a minor role so far.

### Behavioural approaches

Various behavioural approaches exist,<sup>156–158</sup> and are classified here into five complementary categories (table 5). Comprehensive approaches target a broad range of skills (cognitive, language, sensorimotor, and adaptive behaviours) via long-term intensive programmes, and are grouped into applied behaviour analysis and structured teaching (table 5).<sup>159</sup> The models based on applied behaviour analysis originate from the Lovaas method<sup>160</sup> and are collectively referred to as early intensive behavioural intervention. The Early Start Denver Model is a further development, in which a developmental framework and relationship aspects are emphasised (table 5). Early intensive behavioural intervention seems to enable the development of intelligence, communication, and adaptive function, and, to a lesser extent, language, daily living skills, and socialisation.<sup>161</sup> A shift from atypical to typical neurophysiology has been reported after 2 years of intervention with the Early Start Denver Model.<sup>162</sup> However, too few randomised controlled trials have been done.<sup>158,161</sup> The second comprehensive approach, structured teaching, originates from the TEACCH (Treatment and Education of Autistic and related Communication-handicapped Children) model (table 5). It is widely used across a broad age range, but little evidence is available from randomised controlled trials.<sup>158</sup>

Targeted approaches focus on specific cognitive behavioural domains. For non-verbal individuals, the Picture Exchange Communication System (table 5) could be helpful, at least in the short term.<sup>158</sup> Some evidence of effectiveness is available for models promoting emotion recognition, theory of mind, imitation, and functional communication (table 5), but their generalisability to other domains of development is unclear.<sup>163</sup> Joint attention or engagement training seems to be effective,<sup>163</sup> and could be generalisable to natural contexts<sup>164</sup> and language development.<sup>165</sup> A curriculum targeting socially synchronous engagement for toddlers also seems to be effective.<sup>166</sup> Social skill training for older children, adolescents, and adults is also promising (table 5). Programmes establishing independence are often used but still need systematic assessment (table 5). Vocational intervention is important, especially for transition into adulthood, but more randomised controlled trials are needed to assess their effectiveness (table 5). Targeted behavioural intervention can also be beneficial by reducing anxiety and aggression (table 5).

Parent-mediated intervention has the advantage of bringing treatment into home and community settings

to enable transfer of skills to real-life settings, and increasing parents' and caregivers' self-confidence (table 5).<sup>156</sup> Programmes can be comprehensive (eg, parent delivery of the Early Start Denver Model) or targeted (eg, at joint attention or communication; table 5). The benefit of parent-mediated intervention alone is unclear, and results are inconsistent (table 5). Nevertheless, parental and family involvement is important in therapist-mediated programmes.<sup>79,158</sup>

Sensory integration therapy—frequently used in occupational therapy—is sometimes offered as one

component of a comprehensive programme to address sensory-based problems. However, its effectiveness is inconclusive<sup>167</sup> and it should not be considered as a routine intervention for autism.<sup>168</sup>

The US Health Resources and Services Administration<sup>158</sup> and the UK National Institute for Health and Care Excellence<sup>74</sup> have provided clinical guidelines for behavioural interventions. They stress that comprehensive intervention should immediately follow diagnosis, and should be individualised (on the basis of developmental level, needs, and assets) and engage the family.

	Target group	Evidence for effectiveness*	Intervention framework and goals
<b>Behavioural approaches</b>			
Comprehensive: ABA-based			
Early intensive behavioural intervention	Young children (usually aged <5 years)	Low or moderate	Based on ABA principles; usually home-based or school-based; application of discrete trial training (ie, teaching in simplified and structured steps); 1:1 adult-to-child ratio; intensive teaching for 20–40 h/week for 1–4 years
Early intensive behavioural intervention integrated with developmental and relationship-based approaches (eg, ESDM and floortime [developmental individual-difference, relationship-based model])	Young children (usually aged <5 years)	Moderate or insufficient for ESDM; not established for floortime	ESDM: aims to accelerate children's development in all domains; intervention targets derived from assessment of developmental skills; stresses social-communicative development, interpersonal engagement, imitation-based interpersonal development, and social attention and motivation; integration of ABA principles and pivotal response training (ie, a naturalistic approach targeting so-called pivotal areas of a child's development, including motivation, response to multiple cues, self-management, and initiation of social interactions) Floortime: emphasises functional emotional development, individual differences in sensory modulation, processing and motor planning, relationships, and interactions
Comprehensive: structured teaching			
Treatment and Education of Autistic and related Communication-handicapped Children (TEACCH)	Children, adolescents, and adults	Low	Provides structures of the environment and activities that can be understood by the individual; uses individuals' relative strengths in visual skills and interests to supplement weaker skills; uses individuals' special interests to engage for learning; supports self-initiated use of meaningful communication
Targeted skill-based intervention			
Picture Exchange Communication System	Non-verbal individuals	Moderate	Teaches spontaneous social-communication skills through use of symbols or pictures
Training in joint attention, pretend play, socially synchronous behaviour, imitation, emotion recognition, theory of mind, and functional communication	Children	Not established, but potentially effective	Fairly short-term (weeks to months) training sessions targeting establishment of particular social cognitive abilities fundamental to typical social-communication development
Teaching social skills (eg, emotion recognition, turn-taking) with areas of interests (eg, in machines and systems)	Children, adolescents, and adults	Not established, but potentially effective	Short-term (weeks to months) interventions with DVDs (eg, <i>Mindreading</i> or <i>The Transporters</i> ) or Lego therapy
Social skill training	Children aged ≥6 years, adolescents, and adults	Low or moderate	Fairly short-term (weeks to months) training sessions to build social skills, usually through a group format
Training in living skills and autonomy	Children, adolescents, and adults	Not established	Targets establishment of living skills and self-management to build autonomy; positive behaviour support
Vocational intervention	Adolescents and adults	Insufficient	Eg, interview training and on-the-job support
Targeted behavioural intervention for anxiety and aggression			
Cognitive behavioural therapy; ABA	Children, adolescents, and adults	Not established	Cognitive behavioural therapy to reduce anxiety: modifies dysfunctional thoughts; compared with ordinary cognitive behavioural therapy, therapy modified for autism relies less on introspection and more on teaching of practical adaptive skills with concrete instructions; often combined with social skill training; systematic desensitisation is useful particularly for individuals with intellectual disability ABA to reduce aggression: applies functional behaviour assessment and teaches alternative behaviours; skills include antecedent manipulations, changes in instructional context, reinforcement-based strategies, and behaviour reduction strategies
Parent-mediated early intervention			
Training for joint attention, parent-child interaction, and communication; or models like pivotal response training, parent delivery of the ESDM, and More Than Words	Young children	Insufficient or low	Teaches parent or caregiver intervention strategies that can be applied in home and community settings, potentially increasing parental efficacy and enabling child's generalisation of skills to real-life settings

(Continues on next page)

	Target group	Evidence for effectiveness*	Intervention framework and goals
(Continued from previous page)			
<b>Drugs</b>			
<b>Antipsychotic drugs</b>			
Risperidone; aripiprazole	Children, adolescents, and adults	Children: moderate (risperidone) or high (aripiprazole) for effect, and high for adverse effect; adolescents and adults: insufficient, but might have effects as in children	To reduce challenging behaviours and repetitive behaviours; potential adverse effects include weight gain, sedation, extrapyramidal symptoms, and hyperprolactinaemia (risperidone)
<b>Selective serotonin reuptake inhibitors</b>			
Citalopram; escitalopram; fluoxetine; and others	Children, adolescents, and adults	Insufficient for effect and adverse effect	To reduce repetitive behaviours; potential adverse effects include activation symptoms (agitation) and gastrointestinal discomfort
<b>Stimulant</b>			
Methylphenidate	Children, adolescents, and adults	Insufficient for effect and adverse effect; might be helpful; clinical guideline established	To reduce attention-deficit hyperactivity disorder symptoms; potential adverse effects include insomnia, decreased appetite, weight loss, headache, and irritability

For version with full references, see appendix. ABA=applied behaviour analysis. ESDM=Early Start Denver Model. \*Suggested by available systematic reviews and meta-analyses, with criteria directly following or similar to the Grading of Recommendations Assessment Development and Evaluation Working Group recommendation; different ratings for the same model or agent are from different reports.

**Table 5: Interventions by major model or agent**

Additionally, they emphasise that social-communication training (with a focus on social skills) should be offered, and non-verbal individuals should have opportunities to use the Picture Exchange Communication System (or alternative communication interventions if that is unsuccessful). The guidelines stress that functional analysis should be integrated into design of interventions for challenging behaviours. Supported employment should be offered for adults who have difficulty obtaining or maintaining jobs. Support for families is crucial. Importantly, more randomised controlled trials are needed for all intervention models to improve evidence for choosing an intervention for each individual and family. Finally, creation of autism-friendly environments is essential. Future research needs to focus on monitoring of outcomes, understanding of specific needs for preverbal and non-verbal individuals as well as adolescents and adults, and identification of key components in effective strategies.<sup>158</sup> Generalisation of skills is still a major challenge.

### Drugs

No biomedical agent has been shown to reliably improve social communication; experimental trials of drugs targeting various systems (eg, oxytocin, and cholinergic and glutamatergic agents) are in progress.<sup>169</sup> Antipsychotic drugs have been shown to effectively reduce challenging and repetitive behaviours in children with autism, and insufficient evidence of usefulness in adolescents and adults is available (table 5). The risk of adverse effects is grounds for concern.<sup>170</sup> Serotonin reuptake inhibitors might reduce repetitive behaviours, although findings are inconsistent (table 5). The effect of stimulants on co-occurring symptoms of attention-deficit hyperactivity

disorder requires more study<sup>170</sup> but is promising and has been recommended (table 5).<sup>171</sup> Initial evidence suggests that atomoxetine also reduces co-occurring symptoms of attention-deficit hyperactivity disorder.<sup>172</sup>

Some complementary and alternative medicines might be tolerated (eg, melatonin, vitamins, a gluten-casein-free diet, omega-3 fatty acids), but their effectiveness is not established.<sup>173,174</sup> No treatment benefit of secretin has been recorded.<sup>175</sup> Chelation therapies, hyperbaric oxygen therapy, intravenous immunoglobulin, and antifungal agents all have serious safety concerns without evidenced benefits, and should not be used.<sup>173,174</sup>

### Conclusions

Understanding of autism has changed substantially in the 70 years since it was first described. With the recent exponential increase in research and the inclusion of scientists from a wide range of disciplines, understanding will continue to evolve at an accelerated rate. The specialty has achieved much: it has reached a consensus about behavioural definition; accepted the increased prevalence; improved understanding about early presentation; established systematic clinical assessments and evidence-based interventions; clarified specific cognitive processes; and used a multidomain, systems-level approach to understand neurobiology. It is discovering rare and common, mutated and transmitted genetic variants, and potential epigenetic and environmental factors.

Nevertheless, future work is needed in many areas. First, to understand aetiologies and development, clarification of the substantial heterogeneity by subgrouping is essential.<sup>6</sup> Second, progress needs to be made in understanding of early developmental mechanisms on which early

recognition and interventions rely. Third, effective individualised educational and biomedical interventions for the whole lifespan need to be established. Fourth, key environmental factors that interact with the complex genetic architecture of autism need to be identified. Fifth, how autism affects individuals in different cultural contexts needs to be understood. Finally, environments should be made more autism friendly.

#### Contributors

M-CL did the initial literature search, summarised findings, and prepared the first draft of the report. MVL prepared figures. All authors contributed to the writing of the report.

#### Conflicts of interest

We declare that we have no conflicts of interest.

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# THE LANCET

## **Supplementary appendix**

This appendix formed part of the original submission and has been peer reviewed. We post it as supplied by the authors.

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## Appendix Tables (with full references)

**Appendix Table 1. Behavioural characteristics of autism**

<b>Core features in DSM-5 criteria<sup>1</sup></b>	
Persistent deficits in social communication and social interaction across multiple contexts	Deficits in social-emotional reciprocity
	Deficits in non-verbal communicative behaviours used for social interaction
	Deficits in developing, maintaining, and understanding relationships
Restricted, repetitive patterns of behaviour, interests, or activities	Stereotyped or repetitive motor movements, use of objects, or speech
	Insistence on sameness, inflexible adherence to routines, or ritualised patterns of verbal or non-verbal behaviour
	Highly restricted, fixated interests that are abnormal in intensity or focus
	Hyper- or hypo-reactivity to sensory input or unusual interest in sensory aspects of the environment
<b>Associated features not in DSM-5 criteria</b>	
Atypical language development and abilities <sup>2</sup>	Preschool age (< 6 years): frequently deviant and delayed in comprehension; two-thirds have difficulty with expressive phonology and grammar School age ( $\geq$ 6 years): deviant pragmatics, semantics, and morphology, with relatively intact articulation and syntax (ie, early difficulties are resolved)
Motor abnormalities <sup>3, 4</sup>	Motor delay; hypotonia; catatonia; deficits in coordination, movement preparation and planning, praxis, gait, and balance
Excellent attention to detail <sup>5</sup>	

**Appendix Table 2. Common co-occurring conditions**

<b>Condition</b>	<b>Proportion of individuals with autism affected</b>	<b>Comments</b>
<i>Developmental</i>		
Intellectual disability	~45% <sup>6</sup>	Prevalence estimate is affected by the diagnostic boundary and the definition of intelligence (eg, whether verbal ability is used as a criterion). In individuals, discrepant performance between subtests is common.
Language disorders	Variable	In DSM-IV, language delay was a defining feature of autism (autistic disorder), but is no longer included in DSM-5. An autism-specific language profile (separate from language disorders) exists, but with substantial inter-individual variability. <sup>2</sup>
Attention-deficit hyperactivity disorder	28-44% <sup>7-10</sup>	In DSM-IV, not diagnosed when occurring in individuals with autism, but no longer so in DSM-5. Clinical guidance available. <sup>11</sup>
Tic disorders	14-38% <sup>7, 10</sup>	~6.5% have Tourette's syndrome. <sup>12</sup>
Motor abnormality	≤ 79% <sup>13, 14</sup>	See Appendix Table 1.
<i>General medical</i>		
Epilepsy	8-30% <sup>4, 15</sup>	Increased frequency in individuals with intellectual disability or genetic syndromes. Two peaks of onset: early childhood and adolescence. Increases risk of poor outcome. Clinical guidance available. <sup>4, 16</sup>
Gastrointestinal problems	9-70% <sup>17</sup>	Common symptoms include chronic constipation, abdominal pain, chronic diarrhoea, and gastro-oesophageal reflux. <sup>18</sup> Associated disorders include gastritis, oesophagitis, gastro-oesophageal reflux disease, inflammatory bowel disease, coeliac disease, Crohn's disease, and colitis. <sup>19</sup> Clinical guidance available. <sup>17, 18</sup>

Immune dysregulation	$\leq 38\%$ <sup>20</sup>	Altered immune function, which interacts with neurodevelopment, could be a crucial biological pathway underpinning autism. <sup>21</sup> Associated with allergic and autoimmune disorders. <sup>20, 22</sup>
Genetic syndromes	$\sim 5\%$ <sup>23</sup>	Collectively called ‘syndromic autism’, <sup>24, 25</sup> Examples include fragile X syndrome (21-50% of individuals affected have autism), Rett syndrome (most have autistic features but with profiles different from idiopathic autism), tuberous sclerosis complex (24-60%), Down’s syndrome (5-39%), phenylketonuria (5-20%), CHARGE syndrome (coloboma of the eye; heart defects; atresia of the choanae; retardation of growth and development, or both; genital and urinary abnormalities, or both; and ear abnormalities and deafness; 15-50%), Angelman syndrome (50-81%), Timothy syndrome (60-70%), and Joubert syndrome ( $\sim 40\%$ ).
Sleep disorders	50-80% <sup>26, 27</sup>	Insomnia is the most common. Clinical guidance available. <sup>4, 26, 27</sup>
<b><i>Psychiatric</i></b>		
Anxiety	42-56% <sup>7-10</sup>	Common across all age groups. Most common are social anxiety disorder (13-29% of individuals with autism; clinical guidance available <sup>28</sup> ) and generalised anxiety disorder (13-22%). <sup>7-9</sup> High-functioning individuals are more susceptible (or symptoms are more detectable). <sup>29</sup>
Depression	12-70% <sup>7-10</sup>	Common in adults, <sup>8, 9</sup> less common in children. <sup>7</sup> High-functioning adults who are socially less impaired are more susceptible (or symptoms are more detectable). <sup>30</sup>
Obsessive-compulsive disorder	7-24% <sup>7-9</sup>	Shares the repetitive behaviour domain with autism that may cut across nosological categories. Important to distinguish between repetitive behaviours that <i>do not</i> involve intrusive, anxiety-causing thoughts or obsessions (part of autism) and those that <i>do</i> (and are part of obsessive-compulsive disorder).
Psychotic disorders	12-17% <sup>8, 9</sup>	Mainly in adults. Most commonly recurrent hallucinosis. <sup>9</sup>

		High frequency of autism-like features (even a diagnosis of autism spectrum disorder or pervasive developmental disorder) preceding adult-onset (52%) <sup>31</sup> and childhood-onset schizophrenia (30-50%). <sup>32</sup>
Substance use disorders	≤ 16% <sup>8</sup>	Potentially because individual is using substances as self-medication to relieve anxiety.
Oppositional defiant disorder	16-28% <sup>7, 10</sup>	Oppositional behaviours could be a manifestation of anxiety, resistance to change, stubborn belief in the correctness of own point of view, difficulty seeing another's point of view, lack of awareness of the effect of own behaviour on others, or lack of interest in social compliance. <sup>10</sup>
Eating disorders	4-5% <sup>8, 9</sup>	Could be a misdiagnosis of autism, particularly in female individuals, because both involve rigid behaviour, inflexible cognition, self-focus, and focus on details. <sup>33</sup>
<b>Personality disorders</b>		Particularly in high-functioning adults.
Paranoid personality disorder	0-19% <sup>8, 34</sup>	Could be secondary to difficulty understanding others' intentions and negative interpersonal experiences. <sup>34</sup>
Schizoid personality disorder	21-26% <sup>8, 34</sup>	Partially overlapping diagnostic criteria with autism. Similar to Wing's 'loners' subgroup. <sup>35</sup>
Schizotypal personality disorder	2-13% <sup>8, 34</sup>	Some overlapping criteria with autism, especially those shared with schizoid personality disorder.
Borderline personality disorder	0-9% <sup>8, 34</sup>	Could have similarity in behaviours (eg, difficulties in interpersonal relationships, misattributing hostile intentions, problems with affect regulation), which requires careful differential diagnosis. Could be a misdiagnosis of autism, particularly in female individuals.
Obsessive-compulsive personality disorder	19-32% <sup>8, 34</sup>	Partially overlapping diagnostic criteria with autism.
Avoidant personality disorder	13-25% <sup>8, 34</sup>	Could be secondary to repeated failure in social experiences. <sup>34</sup>
<b>Behavioural</b>		
Aggressive behaviours	≤ 68% <sup>36</sup>	Often directed towards caregivers rather than non-caregivers. <sup>36</sup> Could be a result of empathy difficulties, anxiety, sensory overload, disruption of routines, and difficulties with communication.

Self-injurious behaviours	$\leq 50\%$ <sup>37</sup>	Associated with impulsivity and hyperactivity, negative affect, and lower levels of ability and speech. <sup>37</sup> Could signal frustration in individuals with reduced communication, as well as anxiety, sensory overload, or disruption of routines. Could also become a repetitive habit. Could cause tissue damage and need for restraint.
Pica	~36% <sup>38</sup>	More likely in individuals with intellectual disability. Could be a result of a lack of social conformity to cultural categories of what is deemed edible, or sensory exploration, or both.
Suicidal ideation or attempt	11-14% <sup>39, 40</sup>	Risks increase with concurrent depression and behavioural problems, and after being teased or bullied. <sup>39</sup>

**Appendix Table 3. Screening and diagnostic instruments**

<b>Instrument</b>	<b>Age</b>	<b>Description</b>	<b>Source</b>
<b><i>Screening: young children</i></b>			
Checklist for Autism in Toddlers (CHAT) <sup>41</sup>	at 18 months	14-item questionnaire: nine completed by parent/caregiver and five by primary health-care provider; takes 5-10 min.	Public domain: <a href="http://www.autism.org.uk/working-with/health/screening-and-diagnosis/checklist-for-autism-in-toddlers-chat.aspx">http://www.autism.org.uk/working-with/health/screening-and-diagnosis/checklist-for-autism-in-toddlers-chat.aspx</a>
Early Screening of Autistic Traits (ESAT) <sup>42</sup>	at 14 months	14-item questionnaire: completed by health practitioners at well-baby visit after interviewing parent/caregiver; takes 5-10 min.	Provided in the initial paper. <sup>43</sup>
Modified Checklist for Autism in Toddlers (M-CHAT) <sup>44</sup>	16-30 months	23-item questionnaire: completed by parent/caregiver; takes 5-10 min.	Public domain: <a href="http://www.mchatscreen.com/">http://www.mchatscreen.com/</a>
Infant Toddler Checklist (ITC) <sup>45</sup>	6-24 months	24-item questionnaire: completed by parent/caregiver; takes 5-10 min.	Public domain: <a href="http://firstwords.fsu.edu/pdf/checklist.pdf">http://firstwords.fsu.edu/pdf/checklist.pdf</a>
Quantitative Checklist for Autism in Toddlers (Q-CHAT) <sup>46</sup>	18-24 months	25-item questionnaire: completed by parent/caregiver; takes 5-10 min; 10-item short version available. <sup>47</sup>	Public domain: <a href="http://www.autismresearchcentre.com/arc_tests">http://www.autismresearchcentre.com/arc_tests</a>
Screening Tool for Autism in Children aged Two Years (STAT) <sup>48</sup>	24-36 months	12 items and activities: assessed by clinician or researcher after interacting with the child; takes 20 min; intensive training necessary; level-two screening measure.	<a href="http://stat.vueinnovations.com/">http://stat.vueinnovations.com/</a>
<b><i>Screening: older children and adolescents</i></b>			
Social Communication Questionnaire (SCQ) <sup>49</sup>	>4 years (and mental age >2 years)	40-item questionnaire: completed by parent/caregiver; takes 10-15 min.	Western Psychological Services ( <a href="http://www.wpspublish.com/">http://www.wpspublish.com/</a> )

Social Responsiveness Scale, First or Second Edition (SRS, SRS-2) <sup>50</sup>	>2·5 years	65-item questionnaire: completed by parent/caregiver, teacher, relative, or friends (self-report form available for adult in SRS-2); takes 15-20 min.	Western Psychological Services ( <a href="http://www.wpspublish.com/">http://www.wpspublish.com/</a> )
Childhood Autism Screening Test (CAST) <sup>51</sup>	4-11 years	37-item questionnaire: completed by parent/caregiver; takes 10-15 min.	Public domain: <a href="http://www.autismresearchcentre.com/arc_tests">http://www.autismresearchcentre.com/arc_tests</a>
Autism Spectrum Screening Questionnaire (ASSQ) <sup>52</sup>	7-16 years	27-item questionnaire: completed by parent/caregiver or teacher; takes 10 min; particularly sensitive for high-functioning individuals.	Provided in the initial paper. <sup>52</sup>
Autism Spectrum Quotient (AQ), child <sup>53</sup> and adolescent <sup>54</sup> versions	Child: 4-11 years; Adolescent: 10-16 years	50-item questionnaire: completed by parent/caregiver; takes 10-15 min; 10-item short versions available; <sup>47</sup> particularly sensitive for high-functioning individuals.	Public domain: <a href="http://www.autismresearchcentre.com/arc_tests">http://www.autismresearchcentre.com/arc_tests</a>
<b>Screening: adults</b>			
Autism Spectrum Quotient (AQ), adult version <sup>55</sup>	>16 years (with average or above-average intelligence)	50-item questionnaire: self-report; takes 10-15 min; 10-item short version available; <sup>47</sup> particularly sensitive for high-functioning individuals.	Public domain: <a href="http://www.autismresearchcentre.com/arc_tests">http://www.autismresearchcentre.com/arc_tests</a>
The Ritvo Autism Asperger Diagnostic Scale-Revised (RAADS-R) <sup>56</sup>	>18 years (with average or above-average intelligence)	80-item questionnaire: self-report; done with a clinician; takes 60 min.	Provided in the initial paper. <sup>56</sup>
<b>Diagnosis: structured interview</b>			
The Autism Diagnostic Interview-Revised (ADI-R) <sup>57</sup>	Mental age >2 years	93-item interview of parent/caregiver: takes 1·5-3 h; intensive training necessary.	Western Psychological Services ( <a href="http://www.wpspublish.com/">http://www.wpspublish.com/</a> )
The Diagnostic Interview for	All	362-item interview of parent/caregiver: takes	<a href="http://www.autism.org.uk/our-services/di">http://www.autism.org.uk/our-services/di</a>

Social and Communication Disorders (DISCO) <sup>58</sup>	chronological and mental ages	2-4 h; intensive training necessary.	<a href="http://www.westernpsychological.com/agnosing-complex-needs/the-diagnostic-interview-for-social-and-communication-disorders-disco.aspx">agnosing-complex-needs/the-diagnostic-interview-for-social-and-communication-disorders-disco.aspx</a>
The Developmental, Dimensional and Diagnostic Interview (3Di) <sup>59</sup>	>2 years	266-item computer-assisted interview of parent/caregiver: takes 2 h; 53-item short-form available, which takes 45 min; <sup>60</sup> intensive training necessary.	<a href="http://www.ixdx.org/3di-index.html">http://www.ixdx.org/3di-index.html</a>
<b><i>Diagnosis: observational measure</i></b>			
The Autism Diagnostic Observation Schedule, First or Second Edition (ADOS, ADOS-2) <sup>61</sup>	>12 months	Clinical observation via interaction: select one from five available modules according to expressive language level and chronological age; takes 40-60 min; intensive training necessary.	Western Psychological Services ( <a href="http://www.wpspublish.com/">http://www.wpspublish.com/</a> )
Childhood Autism Rating Scale, First or Second Edition (CARS, CARS-2) <sup>62</sup>	>2 years	15-item rating scale: completed by clinician or researcher; takes 20-30 min; accompanied by a questionnaire done by parent/caregiver; moderate training necessary.	Western Psychological Services ( <a href="http://www.wpspublish.com/">http://www.wpspublish.com/</a> )



**Appendix Table 4. Cognitive domains in autism research**

<b>Domain</b>	<b>Main behavioural features</b>	<b>Main cognitive (psychological) constructs</b>
Social cognition and social perception	Atypical social interaction and social communication	Gaze and eye contact; <sup>63</sup> emotion perception; <sup>64</sup> face processing; <sup>65</sup> biological motion perception; <sup>66</sup> social attention and orienting; <sup>67</sup> social motivation; <sup>68</sup> social reward processing; <sup>69</sup> non-verbal communication; <sup>70</sup> imitation; <sup>71</sup> affective empathy and sympathy; <sup>72</sup> joint attention; <sup>73</sup> pretend play; <sup>74</sup> theory of mind or mental perspective taking; <sup>75-77</sup> self-referential cognition; <sup>78</sup> alexithymia (difficulty understanding and describing own emotions); <sup>79, 80</sup> metacognitive awareness <sup>81</sup>
Executive function	Repetitive and stereotyped behaviour; atypical social interaction and social communication	Cognitive flexibility; planning; inhibitory control; attention shifting; monitoring; generativity; working memory <sup>82</sup>
‘Bottom-up’ and ‘top-down’ (local vs global) information processing*	Idiosyncratic sensory-perceptual processing; excellent attention to detail; restricted interests and repetitive behaviour; atypical social interaction and social communication	Global vs local perceptual functioning (superior low-level sensory-perceptual processing); <sup>83-85</sup> ‘central coherence’ (global vs local preference); <sup>84</sup> ‘systemising’ (drive to construct rule-based systems, ability to understand rule-based systems, knowledge of factual systems) <sup>86</sup>

\*Local processing involves sensory and perceptual inputs; global processing involves higher-level cortical control.

**Appendix Table 5. Interventions for autism**

Category	Major model/agent	Target group	Evidence for effectiveness*	Intervention framework and goals
<i>Behavioural approaches</i>				
1. Comprehensive: ABA-based	EIBI	Young children (usually aged <5 years)	Low <sup>87, 88</sup> or moderate <sup>89</sup>	Based on ABA principles; usually home-based or school-based; application of 'discrete trial training' (ie, a method of teaching in simplified and structured steps; instead of teaching an entire skill in one go, the skill is broken down and 'built-up' using discrete trials that teach each step one at a time); 1:1 adult-to-child ratio; intensive teaching for 20-40 h/week for 1-4 years. <sup>87, 90</sup>
	EIBI integrated with developmental and relationship-based approaches (eg, ESDM and Floor-time)	Young children (usually aged <5 years)	Moderate <sup>89</sup> or insufficient <sup>88</sup> for ESDM; not established for Floor-time	ESDM: aims to accelerate children's development in all domains; intervention targets derived from assessment of developmental skills; stresses social-communicative development, interpersonal engagement, imitation-based interpersonal development, and social attention and motivation; integration of ABA principles and 'pivotal response training' (ie, a naturalistic approach targeting 'pivotal' areas of a child's development, including motivation, response to multiple cues, self-management, and initiation of social interactions). <sup>91</sup>  Floor-time (Developmental Individual-Difference, Relationship-Based model): emphasises functional

				emotional development, individual differences in sensory modulation, processing and motor planning, relationships, and interactions. <sup>92</sup>
2. Comprehensive: structured teaching	TEACCH	Children, adolescents, and adults	Low <sup>89</sup>	Provides structures of the environment and activities that can be understood by the individual; uses individuals' relative strengths in visual skills and interests to supplement weaker skills; uses individuals' special interests to engage for learning; supports self-initiated use of meaningful communication. <sup>93</sup>
3. Targeted skill-based intervention	PECS	Non-verbal individuals	Moderate <sup>89</sup>	Teaches spontaneous social-communication skills through use of symbols or pictures. <sup>94</sup>
	Training in joint attention, pretend play, socially synchronous behaviour, imitation, emotion recognition, theory of mind, and functional communication	Children	Not established, but potentially effective <sup>95</sup>	Fairly short-term (weeks to months) training sessions targeting establishment of particular social cognitive abilities fundamental to typical social-communication development. <sup>95-98</sup>
	Teaching social skills (eg, emotion recognition, turn-taking) with areas of interests (eg, in machines and systems)	Children, adolescents, and adults	Not established, but potentially effective <sup>99-101</sup>	Short-term (weeks to months) interventions with DVDs (eg, <i>Mindreading</i> <sup>100</sup> or <i>The Transporters</i> <sup>99</sup> ) or <i>Lego</i> therapy. <sup>101</sup>
	Social skill training	School-age ( $\geq 6$ years)	Low <sup>102</sup> or moderate <sup>89</sup>	Fairly short-term (weeks to months) training sessions to build social skills, usually through a group format. <sup>95, 102</sup>

		children, adolescents, and adults		
	Training in living skills and autonomy	Children, adolescents, and adults	Not established	Targets establishment of living skills and self-management to build autonomy; positive behaviour support. <sup>103, 104</sup>
	Vocational intervention	Adolescents and adults	Insufficient <sup>105</sup>	Eg, interview training and on-the-job support. <sup>105</sup>
4. Targeted behavioural intervention for anxiety and aggression	CBT; ABA	Children, adolescents, and adults	Not established	<p>CBT to reducing anxiety: modifies dysfunctional thoughts; compared with ordinary CBT, CBT modified for autism relies less on introspection and more on teaching of practical adaptive skills with concrete instructions; often combined with social skill training; systematic desensitisation is useful particularly for individuals with intellectual disability.<sup>106</sup></p> <p>ABA to reduce aggression: applies functional behaviour assessment and teaches alternative behaviours; skills include antecedent manipulations, changes in instructional context, reinforcement-based strategies, and behaviour reduction strategies.<sup>107</sup></p>
5. Parent-mediated early intervention	Training for joint attention, <sup>108</sup> parent-child interaction and communication, <sup>109</sup> or models like pivotal response training,	Young children	Insufficient <sup>88</sup> or low <sup>112</sup>	Teaches parent or caregiver intervention strategies that can be applied in home and community settings, potentially increasing parental efficacy and enabling child's generalisation of skills to real-life settings. <sup>88, 112</sup>

	P-ESDM, <sup>110</sup> and More Than Words <sup>111</sup>			
<b>Drugs</b>				
1. Antipsychotic drugs	Risperidone; aripiprazole	Children, adolescents, and adults	Children: moderate (risperidone) or high (aripiprazole) for effect, and high for adverse effect; <sup>113</sup> adolescents and adults: insufficient, but might have effects as in children <sup>114</sup>	To reduce challenging behaviours and repetitive behaviours; potential adverse effects include weight gain, sedation, extrapyramidal symptoms, and hyperprolactinaemia (risperidone).
2. SSRI	Citalopram; escitalopram; fluoxetine; and others	Children, adolescents, and adults	Insufficient for effect and adverse effect <sup>113-115</sup>	To reduce repetitive behaviours; potential adverse effects include 'activation symptoms' (agitation) and gastro-intestinal discomfort.
3. Stimulant	Methylphenidate	Children, adolescents, and adults	Insufficient for effect and adverse effect; <sup>113</sup> might be helpful; clinical guideline established <sup>11</sup>	To reduce attention-deficit hyperactivity disorder symptoms; potential adverse effects include insomnia, decreased appetite, weight loss, headache, and irritability.

\* Evidence level: Suggested by available systematic reviews and meta-analyses, with criteria directly following or similar to the Grading of Recommendations Assessment Development and Evaluation (GRADE) Working Group recommendation;<sup>116</sup> different ratings for the same model or agent are from different reports. 'Not established' indicates no available systematic review or meta-analysis to date.

*Abbreviations:* ABA: applied behaviour analysis; CBT: cognitive behavioural therapy; EIBI: early intensive behavioural intervention; ESDM: Early Start Denver Model; PECS: Picture Exchange Communication System; P-ESDM: parent delivery of the ESDM; SSRI: selective serotonin reuptake inhibitor; TEACCH: Treatment and Education of Autistic and related Communication-handicapped Children.

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